



Moneywise. Be wise.

## SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : NH100001849 • Investment Adviser No. : INA100012491

Regd. Office : 1169, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC0083609

E-mail : [smc@smdindiaonline.com](mailto:smc@smdindiaonline.com) Website : [www.smcindiaonline.com](http://www.smcindiaonline.com)

Date: 9<sup>th</sup> October, 2020

To,

The Manager  
Listing Department,  
Calcutta Stock Exchange Limited  
7, Lyons Range, Dalhousie,  
Kolkata – 700001, West Bengal.

**Subject: Submission of Statement of Shareholding Pattern pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the second quarter ended on 30<sup>th</sup> September, 2020.**



Dear Sir(s),

This is with reference to the captioned subject matter. In this regard, we are enclosing herewith the Statement of Shareholding Pattern pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the second quarter ended on 30<sup>th</sup> September, 2020.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited

  
  
(Suman Kumar)  
E.V.P. (Corporate Affairs) Company Secretary & Compliance Officer  
(Membership No. F5824)

Enclosed: Annexure I-Shareholding pattern as on 30<sup>th</sup> September, 2020

MUMBAI OFFICE : A Wing, 40/1A/2, Lotus Corporate Park, Grahm Fifth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East  
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : [smcmumbai@smdindiaonline.com](mailto:smcmumbai@smdindiaonline.com)

KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004  
E-mail : [smckolkata@smdindiaonline.com](mailto:smckolkata@smdindiaonline.com)



**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: SMC Global Securities Limited	
2.	Scrip Code/Name of Scrip/Class of Security:	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledged or otherwise encumbered?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON : 03/10/2020      NSDL : 30/09/2020      CDSL : 30/09/2020

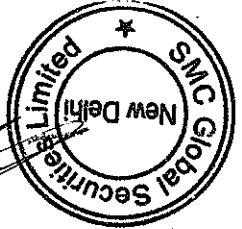


SMC Global Securities Limited

Table I - Summary Statement holding of specified securities

Category	(i)	(ii)		(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of total no. of shares held (calculated as per SCRR, 1957)	No. of Voting Rights	Total as a % of (A+B+C)	(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)	Total
		No. of shares underlying Party paid-up equity shares held	No. of fully paid up equity shares held															
(A) Promoter & Promoter Group	16	70504685	0	0	0	0	0	70504685	62.3194	0	62.3194	0	62.3194	0	0.0000	11313445	16.046300	70504685
(B) Public	1455	42629765	0	0	0	0	0	42629765	37.6806	0	37.6806	0	37.6806	0	0.0000	NA	NA	30096555
(C) Non Promoter - Non Public									0	0	0	0	0	0	0.0000	NA	NA	0
(C1) Shares Underlying DRs	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2) Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total		1471	113134450	0	0	0	0	113134450	100.0000	0	100.0000	0	100.0000	0	0.0000	11313445	10.000000	100601240

GENERATED ON :03/10/2020 NSDL : 30/09/2020 CDSL : 30/09/2020



Category & Name of the shareholders	PAN	(i)		Nos. of shares held	Nos. of shares held as a % of total shares	No. of Shares as a % of total shares	No. of Locked in Shares or otherwise encumbered	Number of Shares pledged	Number of Voting Rights held in each class of securities	No. of Shares	Underlying Shares as a % of total shares	Convertible securities as a % of total shares	Warrants (including diluted share capital)	(X) = (VII)+(X)	(XI)	(XII)	(XIII)	(XIV)
		(ii)	(iii)															
Individuals / Hindu Undivided Family	Indian	No. of shares held	as a % of total shares	Total nos.	as per SCRR, 1957 As a % of (A+B+C2)	Shareholding % calculated	No. of Voting Rights	Class eg: X	Class eg: Y	Total as a % of (A+B+C)	No. of convertible securities (including share capital)	Warrants (including diluted share capital)	(X)	(XI)	(XII)	(XIII)	(XIV)	
																		(i)
Subhash Chand Aggarwal	AAAP3987K	40718670	0	10095500	8.9235	8.9235	0	0	0	10095500	8.9235	0	0	8.9235	0	0	0	40718670
Mahesh C Gupta	AAAP3736D	9248500	0	9248500	8.1748	8.1748	0	0	0	9248500	8.1748	0	0	8.1748	0	0	0	9248500
Sushma Gupta	AAKFG3437B	7566550	0	7566550	6.6881	6.6881	0	0	0	7566550	6.6881	0	0	6.6881	0	0	0	7566550
Hemlata Aggarwal	ADFP3940D	5000000	0	5000000	4.4195	4.4195	0	0	0	5000000	4.4195	0	0	4.4195	0	0	0	5000000
Pranay Aggarwal	APFA9951K	2720550	0	2720550	2.4047	2.4047	0	0	0	2720550	2.4047	0	0	2.4047	0	0	0	2720550
Ginni Devi	AKCPD5847Q	2200000	0	2200000	1.9446	1.9446	0	0	0	2200000	1.9446	0	0	1.9446	0	0	0	2200000
Himanshu Gupta	AIEFG287J	1000000	0	1000000	0.8839	0.8839	0	0	0	1000000	0.8839	0	0	0.8839	0	0	0	1000000
Madan Gopal Aggarwal	ASPA7827P	984000	0	984000	0.8698	0.8698	0	0	0	984000	0.8698	0	0	0.8698	0	0	0	984000
Ajay Garg	ABXA2346P	841600	0	841600	0.7439	0.7439	0	0	0	841600	0.7439	0	0	0.7439	0	0	0	841600
Damodar Krishan Aggarwal	AAEPA941R	781970	0	781970	0.6912	0.6912	0	0	0	781970	0.6912	0	0	0.6912	0	0	0	781970
Anurag, Bansal	AAAP5180A	250000	0	250000	0.2210	0.2210	0	0	0	250000	0.2210	0	0	0.2210	0	0	0	250000
Archana Aggarwal	AAAP5180A	30000	0	30000	0.265	0.265	0	0	0	30000	0.265	0	0	0.265	0	0	0	30000
Central Government / State Governments		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Financial Institutions / Banks		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Any Other (Specify)		4	0	29786015	26.3280	26.3280	0	0	0	29786015	26.3280	0	0	26.3280	0	0	0	29786015
Bodies Corporate		4	0	29786015	26.3280	26.3280	0	0	0	29786015	26.3280	0	0	26.3280	0	0	0	29786015
Asm Pipes Private Limited	AAGCA0304C	1	0	18667140	16.5000	16.5000	0	0	0	18667140	16.5000	0	0	16.5000	0	0	0	18667140
Pullin Investments Pvt. Ltd.	AAADC23506	1	0	9277205	8.2002	8.2002	0	0	0	9277205	8.2002	0	0	8.2002	0	0	0	9277205
Jai Ambey Share Broking Ltd.	AAAB1111H	1	0	1616670	1.4290	1.4290	0	0	0	1616670	1.4290	0	0	1.4290	0	0	0	1616670
5mc Share Brokers Ltd.	AAACS0580Q	1	0	225000	0.1989	0.1989	0	0	0	225000	0.1989	0	0	0.1989	0	0	0	225000
Sub Total (A)(1)		16	0	70504685	62.3194	62.3194	0	0	0	70504685	62.3194	0	0	62.3194	0	0	0	70504685
Foreign		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Institutions		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Foreign Portfolio Investor		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Any Other (Specify)		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Sub Total (A)(2)		0	0	0	0.0000	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0
Total Shareholding Of Promoter And Promoter Group (A)=		16	0	70504685	62.3194	62.3194	0	0	0	70504685	62.3194	0	0	62.3194	0	0	0	70504685

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



*[Handwritten Signature]*

Note :  
 (1) PAN would not be displayed on website of Stock Exchange(s)  
 (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(f) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



**SMC Global Securities Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	(i)		Nos. of fully paid up equity shares	No. of Partly paid-up equity shares held	No. of Depositor shares held	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	(ix)		Total as a % of (A+B+C)	Warrants (including securities convertible securities as a percentage of Warrants)	(xi) =	(xii)		As a % of total Shares held(b)	No. (a) held(b)	As a % of total Shares held(b)	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
		(ii)	(iii)						(iv)	(v)				(vi)	(vii) =					
Custodian/DR Holder				0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)				0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)				0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

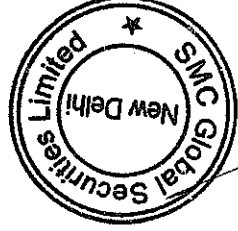
Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON : 03/10/2020 NSDL : 30/09/2020 CDSL : 30/09/2020



*[Handwritten signature]*