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SMC GLOBAL SECURITIES LTD.

Member : National Stock Exchange (NSE Id - 07714)
: Bombay Stock Exchange (BSE Id - 470)
: Metropolitan Stock Exchange (MSE Id - 1002)

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438

Research Analyst No. : INH100001849

Investment Adviser No. : INA100012491

REGD. OFFICE :

11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

CIN No. : L74899DL1994PLC063609

Date: 15th January, 2020

To,
The Manager
Compliance Department,
Calcutta Stock Exchange Limited. (CSE)
7, Lyons Range, Kolkata- 700001

Ref: Regulation 76 of SEBI (Depositories and Participants) Regulation, 2018.

Sub: Submission of the Reconciliation of Share Capital Audit Report for the Third Quarter ended on 31st December, 2019.

Dear Sir(s),

Please find enclosed herewith the Share Capital Reconciliation Audit Report for Equity Shares (Fully Paid) for the quarter ended December 31, 2019 marked as **Annexure I** along with the Reconciliation Statement marked as **Annexure II**. The report has been signed by Mr. Sandeep Kumar of M/s SNK & Associates, Practicing Company Secretaries.

This report is submitted in compliance with Regulation 76 of the SEBI (Depositories and Participants) Regulations, 2018.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited

(Suman Kumar)
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No.: F5824)

Enclosed: Annexure I- Reconciliation of Share Capital Audit Report
Annexure II- Reconciliation Statement

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : smcmumbai@smcindiaonline.com

KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004
E-mail : smckolkata@smcindiaonline.com





SNK & Associates

COMPANY SECRETARIES

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 Ph.: 011-41649625, 41649626
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 E-mail : cssandeepkumarroy@gmail.com
 csskroy.snk@gmail.com

Annexure I

Reconciliation of Share Capital Audit Report

Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulation, 2018

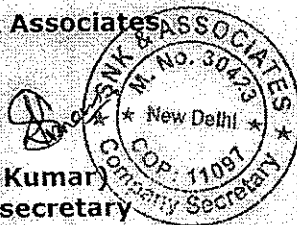
1.	For Quarter Ended	31 st December, 2019	
2.	ISIN:	INE 103C01036	
3.	Face Value:	Rs.2/- each Equity Shares	
4.	Name of the Company:	SMC GLOBAL SECURITIES LIMITED	
5.	Registered Office Address:	11/6B, Shanti Chamber, Pusa Road, New Delhi-110005	
6.	Correspondence Address	- do -	
7.	Telephone & Fax Nos.	Ph. 011-30111000, 40753333 & Fax. 91-011-25754365	
8.	Email address	smc@smcindiaonline.com	
9.	Name of the Stock Exchanges where the company's securities are listed :	C.S.E	
		Number of shares	% of Total Issued Capital
10.	Issued Capital	113134450	100
11.	Listed Capital (Exchange-wise) (as per company records)	C.S.E - 113134450	100
12.	Held in dematerialized form in CDSL	85361752	75.45
13.	Held in dematerialized form in NSDL	15237488	13.47
14.	Physical	12535210	11.08
15.	Total No. of shares (12+13+14)	113134450	100
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15) : N.A		
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below :		
	Particulars* **	No. of Shares	Applied/ Not Applied for Listing
			Listed on Stock Exchanges (Specify Names)
			Whether intimated to CDSL
			Whether intimated to NSDL
			In Prin. Approval pending from SE (Specify Names)
	N.A	N.A	N.A
	N.A	N.A	N.A
	N.A	N.A	N.A
	*** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)		
18.	Register of Members is updated (Yes/No). If Not, updated up to which date	Yes	



19.	Reference of previous quarter with regards to excess dematerialized shares if any	N.A		
20.	Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?	N.A		
21.	Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:			
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
	Confirmed after 21 Days	Nil	N.A	N.A
	Pending for more than 21 days	Nil	N.A	N.A
22.	Name, Telephone & Fax No. of the Compliance Officer of the Co.	Mr. Suman Kumar Tel. 011-30111333 Fax. 011-23258027		
23.	Name, Address, Tel & Fax No., Reg. No. of the Auditor:	SNK & Associates Address: G-1, Ground Floor, South Extension Part-II, New Delhi-110049 Telephone No.: 011-41649625,26 Mobile No.: 9911019008 COP: 11097		
24.	Appointment of common agency for share registry work	Yes- LINK INTIME INDIA PVT. LTD. NOBLE HEIGHTS, 1ST FLOOR, PLOT NH 2 C-1 BLOCK LSE, NEAR SAVITRI MARKET JANAKPURI NEW DELHI- 110058		
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE).	N.A		

For SNK & Associates

(Sandeep Kumar)
Company secretary
COP: 11097



Place: New Delhi
Date: 14.01.2020

UDIN: A030423B000052819

The Company Secretary
M/S. SMC GLOBAL SECURITIES LIMITED
17, Netaji Subhash Marg
Opp. Golcha Cinema, Darya Ganj
New Delhi - 110002

Date: 09/01/2020

Dear Sir,

Please find given hereunder reconciliation statement of your company in both physical & Electronic segment as on 31/12/2019.

TYPE	NO. OF SHARES
1. NSDL	15237488
2. CDSL	85361752
3. PHYSICAL	12535210
TOTAL	113134450

Thanking you,

Yours truly,

For LINK INTIME INDIA PRIVATE LTD.


(AUTHORISED SIGNATORY)

