

A.K.ROY & ASSOCIATES

(Company Secretaries)

A-580, Shastri Nagar
Delhi-110052

Mob-88660842788, 8178885316
email-csarvindroy@rediffmail.com

FORM NO. MGT.13

Report of Scrutinizer(s)

[Pursuant to rule section 109 of the Companies Act, 2013 and rule 21(2) of the
Companies (Management and Administration) Rules, 2014]

The Chairman
SMC Global Securities Limited
11/6-B, Shanti Chamber,
Pusa Road, New Delhi-110005

For the 27th. Annual General Meeting of the Equity Shareholders of the Company held on 07th Day of August, 2021 at 11:00 A.M. Through VC/OAVM at the Regd. Office of the Company at New Delhi deemed to be the venue of this Annual general meeting

Dear Sir,

We M/s A.K. Roy & Associates appointed as Scrutinizer(s) for the purpose of the poll taken on the below mentioned resolution(s), at the Annual General Meeting of the Equity Shareholders of SMC Global Securities Limited, held on 07th Day of August, 2021 at 11:00 A.M. through VC/OAVM for the purpose of:-

1. Scrutinizing the remote e- voting process under the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 and pursuant to Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

2. The Voting through electronic voting system at the AGM

The Company had availed the e-voting facility offered by Link Intime India Pvt. Ltd. for conducting remote e-voting by the Shareholders of the Company.

3. The result of the e- voting is as under:

(a) Resolution No. 1: (Ordinary Resolution)

To consider and adopt:

- a) the Audited Standalone Financial Statements of the Company for the Financial Year ended on March 31, 2021 together with the Reports of the Board of Directors and Auditors thereon; and
- b) the Audited Consolidated Financial Statement of the Company for the Financial Year ended on March 31, 2021 together with the Report of the Auditors thereon.



(i) Voted in favour of the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	89	65667920	100

(ii) Voted against the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0

(iii) Invalid votes

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0

(b) Resolution No. 2: (Ordinary Resolution)

To declare a Final Dividend of 40% on the Face Value of the Equity Share (i.e. ₹ 0.80 per Equity Shares of Face Value of ₹ 2/- each) and to confirm the payment of Interim Dividend of 60% of the Face Value of the Equity Share (i.e. ₹ 1.20 per Equity Shares of Face Value of ₹ 2/- each) already paid for the Financial Year 2020-21.

(iv) Voted in favour of the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	89	65667920	100

(v) Voted against the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0



(vi) Invalid votes

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0

(C) Resolution no-3: (Ordinary Resolution)

To appoint a Director in place of Ms. Shruti Aggarwal, (DIN 06886453), who retires by rotation, in terms of Section 152(6) of Companies Act, 2013 and being eligible, offers herself for re-appointment.

(i) Voted in favour of the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	88	65666570	99.9979

(ii) Voted against the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	1	1350	0.0021

(iii) Invalid votes

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0

(d) Resolution no-4: (Special Resolution)

Adoption of new Memorandum of Association pursuant to the provisions of Companies Act, 2013.

(i) Voted in favour of the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	88	65666570	99.9979



(ii) Voted **against** the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	1	1350	0.0021

(iii) Invalid votes

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0

(i)

(e) Resolution no-5: (Special Resolution)

Adoption of new Articles of Association pursuant to the provisions of Companies Act, 2013.

(i) Voted **in favour** of the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	88	65666570	99.9979

(ii) Voted **against** the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	1	1350	0.0021

(iii) Invalid votes

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0



(f) Resolution no-6: (Special Resolution)

Authorization to hold office or place of profit by Ms. Shweta Aggarwal daughter (Relative) of Mr. Subhash Chand Aggarwal (Chairman and Managing Director of the Company) as Whole Time Director from Non-Executive Director of SMC Capitals Limited, Wholly Owned Subsidiary of the Company.

(i) Voted **in favour** of the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	88	65666570	99.9979

(ii) Voted **against** the resolution:

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	1	1350	0.0021

(iii) Invalid votes

Mode of Voting	Number of members voted	Number of votes cast by them	% of total number of valid votes cast
Electronic	0	0	0

For A.K. Roy & Associates

Arvind Kumar Roy
FCS: 8308 CP No. 9147
UDIN: F008308C000749829



Date: 07th August, 2021
Place: New Delhi