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SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

Regd. Office : 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 8th January, 2021

To,

**The Manager
Listing Department,
Calcutta Stock Exchange Limited
7, Lyons Range, Dalhousie
Kolkata – 700001, West Bengal.**

Sub: Submission of Statement of Investor Complaints pursuant to Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the third quarter ended on 31st December, 2020.

Dear Sir(s),

This is with reference to the captioned subject matter. In this regard, we are enclosing herewith the Statement of Investor Complaints pertaining to Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the third quarter ended on 31st December, 2020.

This is for your information and record.

Thanking you,

For SMC Global Securities Limited



**(Suman Kumar)
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)**

**Enclosed: Annexure I- Statement of Investors Complaints
Annexure II – Details of Investor Grievances received and redressed**

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : smcmumbai@smcindiaonline.com

KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004
E-mail : smckolkata@smcindiaonline.com



Statement of Investors Complaints
[Pursuant to Regulations 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

Name of the Listed Entity: SMC Global Securities Limited
(Quarter ended on: 31st December, 2020)

| Investor Complaints | Pending at the Beginning of the Quarter | Received during the Quarter | Disposed off during the Quarter | Unresolved at the End of the Quarter |
|---|--|------------------------------------|--|---|
| Quarter ended 31st December, 2020 | NIL | NIL | NIL | NIL |

For SMC Global Securities Limited



Suman Kumar
(E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer)
Membership No. F5824

Date: 05/01/2021

The Company Secretary
M/S. SMC GLOBAL SECURITIES LIMITED
17, Netaji Subhash Marg
Opp. Golcha Cinema, Darya Ganj
New Delhi - 110002

Sub: Details of Investors Grievances Received & Redressed.

Dear Sir,

Please find listed below details of the investor complaints / requests, received and redressed at our end during the quarter ended 31st December, 2020 (01/10/2020 to 31/12/2020).

| Investors Complaints / Requests | No. of complaints |
|--|-------------------|
| Total investors complaints Pending at the beginning of the quarter | Nil |
| Total Complaints received from Investors during the quarter | Nil |
| Total complaints received from N.S.E. during the quarter | Nil |
| Total complaints received from B.S.E. during the quarter | Nil |
| Total complaints received form SEBI during the quarter | Nil |
| Total complaints resolved / replied during the quarter | Nil |
| Total complaints pending at the ending of the quarter | Nil |

Kindly acknowledge the receipt of the same.

Thanking you,

Yours truly,

For LINK INTIME INDIA PRIVATE LTD.

(AUTHORISED SIGNATORY)

