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SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

Regd. Office : 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 13th July, 2020

To,

**The Manager
Listing Department,
Calcutta Stock Exchange Limited
7, Lyons Range, Dalhousie,
Kolkata – 700001, West Bengal.**

Subject: Submission of Statement of Shareholding Pattern pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 30th June, 2020.

Dear Sir(s),

This is with reference to the captioned subject matter. In this regard, we are enclosing herewith the Statement of Shareholding Pattern pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the quarter ended on 30th June, 2020.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited

(Suman Kumar)

**E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)**

Enclosed: Annexure I-Shareholding pattern as on 30th June, 2020

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : smcmumbai@smcindiaonline.com

KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004
E-mail : smckolkata@smcindiaonline.com



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015			
1.	Name of Listed Entity:	SMC Global Securities Limited	
2.	Scrip Code/Name of Scrip/Class of Security:		
3.	Share Holding Pattern Filled under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
	* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.		
GENERATED ON :04/07/2020		NSDL : 30/06/2020 CDSL :30/06/2020	



SMC Global Securities Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								Class eg: X	Class eg: Y	Total			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)	(XIII)	(XIV)		
(A)	Promoter & Promoter Group	16	70204685	0	0	70204685	62.0542	70204685	0	70204685	62.0542	0	0.0000	11313445	16.114900	70204685	
(B)	Public	1432	42929765	0	0	42929765	37.9458	42929765	0	42929765	37.9458	0	0.0000	NA	NA	30396555	
(C)	Non Promoter - Non Public				0	0		0	0	0	0	0	0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Total	1448	113134450	0	0	113134450	100.0000	113134450	0	113134450	100.0000	0	0.0000	11313445	10.000000	100601240	

GENERATED ON :04/07/2020 NSDL : 30/06/2020



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAM	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = Total nos. shares held (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	No. (a) of Shares pledged or otherwise encumbered	Number of Locked in shares	No. (a) of Shares held (b)	As a % of total Shares held (b)	Number of equity shares held in dematerialised form (XIV)	
									No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Depository Receipts							Class eg: X
(X)																		
(XI) =																		
(XII) =																		
(XIII) =																		
(XIV) =																		
1. Indian																		
(a) Individuals / Hindu Undivided Family		12																
Subhash Chand Aggarwal	AAJPA9387X	1						40718670	35.9914	40718670	35.9914	0	0.0000	0	0.0000	58175985	1.4.2873	40718670
Mahesh C Gupta	AAAPG3736D	1						10095500	8.9235	10095500	8.9235	0	0.0000	0	0.0000	2908793	28.8128	10095500
Sushma Gupta	AAKPG3437B	1						9248500	8.1748	9248500	8.1748	0	0.0000	0	0.0000	2908792	31.4515	9248500
Hemlata Aggarwal	ADPPA3940D	1						7566550	6.6881	7566550	6.6881	0	0.0000	0	0.0000	0	0.0000	7566550
Pranay Aggarwal	APYPA9951K	1						5000000	4.4195	5000000	4.4195	0	0.0000	0	0.0000	0	0.0000	5000000
Ginni Devi	AKCPD5847Q	1						2720550	2.4047	2720550	2.4047	0	0.0000	0	0.0000	0	0.0000	2720550
Hirmanshu Gupta	AIEPG2872J	1						2200000	1.9446	2200000	1.9446	0	0.0000	0	0.0000	0	0.0000	2200000
Madan Gopal Aggarwal	AISPA7827P	1						1000000	0.8839	1000000	0.8839	0	0.0000	0	0.0000	0	0.0000	1000000
Ajay Gaig	ABKPA2346P	1						984000	0.8698	984000	0.8698	0	0.0000	0	0.0000	0	0.0000	984000
Damodar Krishan Aggarwal	AAEPA9441R	1						841600	0.7439	841600	0.7439	0	0.0000	0	0.0000	0	0.0000	841600
Anurag Bansal	AAAP9441R	1						781970	0.6912	781970	0.6912	0	0.0000	0	0.0000	0	0.0000	781970
Archana Aggarwal	AAAP85180A	1						250000	0.2210	250000	0.2210	0	0.0000	0	0.0000	0	0.0000	250000
Central Government / State Government(s)		0						30000	0.0265	30000	0.0265	0	0.0000	0	0.0000	0	0.0000	30000
Financial Institutions / Banks		0						0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)		4						29486015	26.0628	29486015	26.0628	0	0.0000	0	0.0000	5495860	18.6389	29486015
Bodies Corporate		4						29486015	26.0628	29486015	26.0628	0	0.0000	0	0.0000	5495860	18.6389	29486015
Asm Pipes Private Limited	AAGCA0304C	1						18667140	16.5000	18667140	16.5000	0	0.0000	0	0.0000	0	0.0000	18667140
Pulin Investments Pvt. Ltd.	AADCP2350G	1						8977205	7.9350	8977205	7.9350	0	0.0000	0	0.0000	0	0.0000	8977205
Jai Ambey Share Broking Ltd.	AAABJ1111H	1						1616670	1.4290	1616670	1.4290	0	0.0000	0	0.0000	0	0.0000	1616670
Smt. Share Brokers Ltd.	AAACS0580Q	1						225000	0.1989	225000	0.1989	0	0.0000	0	0.0000	0	0.0000	225000
Sub Total (A)[1]		16						70204685	62.0542	70204685	62.0542	0	0.0000	0	0.0000	11313445	16.1149	70204685
Foreign																		
Individuals (Non-Resident Individuals / Foreign Individuals)		0						0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Government		0						0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Institutions		0						0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Foreign Portfolio Investor		0						0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)		0						0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub Total (A)[2]		0						0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding Of Promoter And Promoter Group (A) = (A)[1]+(A)[2]		16						70204685	62.0542	70204685	62.0542	0	0.0000	0	0.0000	11313445	16.1149	70204685

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON : 04/07/2020 NSDL : 30/06/2020

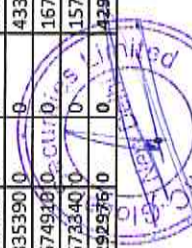
CDSL : 30/06/2020



SMC Global Securities Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) X	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (X)	Ring, as a % assuming full conversion of convertible securities (XI)=	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights Class eg: X	Class eg: Y	Total (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (VIII) As a	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)				
1																
(a) Institutions																
(a) Mutual Fund		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b) Venture Capital Funds		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f) Financial Institutions / Banks		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g) Insurance Companies		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i) Any Other (Specify)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Sub Total (B)(1)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2																
Central Government/ State Government(s)/ President of India																
Sub Total (B)(2)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3																
Non-Institutions																
(a) Individuals																
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		1326	3404002	0	0	3.0088	3404002	0	3404002	0	3.0088	0	0.0000	NA	NA	265735
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		6	851460	0	0	0.7526	851460	0	851460	0	0.7526	0	0.0000	NA	NA	711460
(b) NBFCs registered with RBI		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Trust Employee		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Any Other (Specify)		100	38674303	0	0	34.1844	38674303	0	38674303	0	34.1844	0	0.0000	NA	NA	270277
Hindu Undivided Family		59	415680	0	0	0.3674	415680	0	415680	0	0.3674	0	0.0000	NA	NA	415680
Foreign Companies		3	19965280	0	0	17.6474	19965280	0	19965280	0	17.6474	0	0.0000	NA	NA	835472
Sanlam International Investment	AAMC58714Q	1	9460590	0	0	8.3623	9460590	0	9460590	0	8.3623	0	0.0000	NA	NA	5783720
Millennium Investment And Acquisition	AAFCM3367C	1	9104690	0	0	8.0477	9104690	0	9104690	0	8.0477	0	0.0000	NA	NA	1171000
Cresta Fund Ltd	AADCC2694A	1	1400000	0	0	1.2375	1400000	0	1400000	0	1.2375	0	0.0000	NA	NA	1400000
Non Resident Indians (Repat)		1	350000	0	0	0.3094	350000	0	350000	0	0.3094	0	0.0000	NA	NA	350000
Bodies Corporate		37	17943343	0	0	15.8602	17943343	0	17943343	0	15.8602	0	0.0000	NA	NA	179073
Signature Global (India) Pvt Limited	AACCR3807M	1	8865885	0	0	7.8366	8865885	0	8865885	0	7.8366	0	0.0000	NA	NA	8865885
Bennett, Coleman And Company Limited	AAACB4373Q	1	4335390	0	0	3.8321	4335390	0	4335390	0	3.8321	0	0.0000	NA	NA	4335390
Signatureglobal Securities Private Limited	AAACM0341H	1	1674910	0	0	1.4805	1674910	0	1674910	0	1.4805	0	0.0000	NA	NA	1674910
Agbros Polymers Lip	ABGFA1194G	1	1573340	0	0	1.3907	1573340	0	1573340	0	1.3907	0	0.0000	NA	NA	1573340
Sub Total (B)(3)		1432	42929760	0	0	37.9458	42929765	0	42929765	0	37.9458	0	0.0000	NA	NA	303965



Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	1432	4292976 5	0	0	42929765	37.9458	42929765	0	42929765	37.9458	0	37.9458	0	0.0000	NA	NA	303965 55
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Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :04/07/2020 NSDL : 30/06/2020 CDSL



SMC Global Securities Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders (1)	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+CZ) (VIII) As a % of (VII) (IX)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities held(b) (X) = (XI) = 0.0000 0	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)	
								No of Voting Rights	Class eg: Y	Total (A+B+C)		As a % of total Shares held(b) (XII)	No. (a)	As a % of total Shares held(b) (XIII)	No. (a)		As a % of total Shares held(b) (XIII)
1		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	
2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	
		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :04/07/2020

MSDL : 30/06/2020

CDSL

