Form No. MGT-7

Annual Return (other than OPCs and Small Companies)
[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

सत्यमेव जयते

Form language
(•) English ( ) Hindi

*All fields marked in * are mandatory*

Refer instruction kit for filing the form

I REGISTRATION AND OTHER DETAILS

i *Corporate Identity Number (CIN): L74899DL1994PLC063609

ii (a) *Financial year for which the annual return is being filed (From date) (DD/MM/YYYY): 01/04/2025

(b) *Financial year for which the annual return is being filed (To date) (DD/MM/YYYY): 31/03/2026

(c) *Type of Annual filing: (•) Original ( ) Revised

(d) SRN of MGT-7 filed earlier for the same financial years:

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	SMC GLOBAL SECURITIES LIMITED	SMC GLOBAL SECURITIES LIMITED
Registered office address	11/6B, Shanti Chambers Pusa Road, New Delhi-110005,NA,Delhi,New Delhi,Delhi,India,110005	11/6B, Shanti Chambers Pusa Road, New Delhi-110005,NA,Delhi,New Delhi,Delhi,India,110005
Latitude details	28.642638	28.642638
Longitude details	77.181722	77.181722

(a) *Photograph of the registered office of the Company showing external building and name prominently visible: SMC Global.jpg

(b) *Permanent Account Number (PAN) of the company: AA******1R

(c) *e-mail ID of the company: *****mcindiaonline.com

(d) *Telephone number with STD code: 91********00

(e) Website: www.smcindiaonline.com

iv *Date of Incorporation (DD/MM/YYYY) | 19/12/1994

v (a) *Class of Company (as on the financial year end date) | Public company

(Private company/Public Company/One Person Company)

(b) *Category of the Company (as on the financial year end date) | Company limited by shares

(Company limited by shares/Company limited by guarantee/Unlimited company)

(c) *Sub-category of the Company (as on the financial year end date) | Indian Non-Government company

(Indian Non-Government company/Union Government Company/State Government Company/ Guarantee and association company/Subsidiary of Foreign Company)

vi *Whether company is having share capital (as on the financial year end date) ◉ Yes ◯ No

vii (a) Whether shares listed on recognized Stock Exchange(s) ◉ Yes ◯ No

(b) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	Bombay Stock Exchange (BSE)	A1 - Bombay Stock Exchange (BSE)
2	National Stock Exchange (NSE)	A1024 - National Stock Exchange (NSE)

viii Number of Registrar and Transfer Agent | 1

CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent
U67190MH1999PTC118368	MUFG INTIME INDIA PRIVATE LIMITED	C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West,),,Mumbai,Mumbai City,Maharashtra,India,400083	

ix * (a) Whether Annual General Meeting (AGM) held ◉ Yes ◯ No

(b) If yes, date of AGM (DD/MM/YYYY) | 26/06/2026

(c) Due date of AGM (DD/MM/YYYY) | 27/09/2026

(d) Whether any extension for AGM granted ◯ Yes ◉ No

(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i *Number of business activities: 2

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	64	Financial service activities, except insurance and pension funding	78.08
2	K	Financial and insurance activities	66	Other financial activities	21.92

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i *No. of Companies for which information is to be given: 9

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Joint Venture	% of shares held
1	U51909DL1996PTC353582		MONEYWISE FINANCIAL SERVICES PRIVATE LIMITED	Subsidiary	100
2	U67100DL2009FLC397463		MONEYWISE FINVEST LIMITED	Subsidiary	100
3	U66000DL1995PTC172311		SMC INSURANCE BROKERS PRIVATE LIMITED	Subsidiary	90
4	U74120UP2013PTC054923		SMC INVESTECH PRIVATE LIMITED	Subsidiary	100
5	U19201DL1997PLC089315		SMC INVESTMENTS AND ADVISORS LIMITED	Subsidiary	100

6	U67120DL1997PLC188881		PULIN COMTRADE LIMITED	Subsidiary	100
7	U74899DL1994PLC063201		SMC CAPITALS LIMITED	Subsidiary	100
8	U65990GJ2016PTC094622		SMC GLOBAL IFSC PRIVATE LIMITED	Subsidiary	100
9		DMCC-30383	SMC Comex International DMCC	Subsidiary	100

IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

i SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	477550000.00	209400000.00	209400000.00	209400000.00
Total amount of equity shares (in rupees)	955100000.00	418800000.00	418800000.00	418800000.00

Number of classes: 1

Class of shares: Equity	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of equity shares	477550000	209400000	209400000	209400000
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	955100000.00	418800000.00	418800000.00	418800000.00

(b) Preference share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0	0	0	0
Total amount of preference	0	0	0	0

shares (in rupees)				

Number of classes: 0

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
(i) Equity shares						
At the beginning of the year	204130	104495870	104700000.00	209400000	209400000	
Increase during the year	0.00	104728499.00	104728499.00	209456998.00	209456998.00	0
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	0	0.00	0	0	
iii Bonus issue	0	104700000	104700000.00	209400000	209400000	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	
v ESOPs	0	0	0.00	0	0	
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	0	0.00	0	0	
viii Conversion of Debentures	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
ix GDRs/ADRs	0	0	0.00	0	0	
x Others, specify Conversion of Physical Share into De-mat Form	0	28499	28499.00	56998	56998	
Decrease during the year	28499.00	0.00	28499.00	56998.00	56998.00	0
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify Conversion of Physical Share into De-mat Form	28499		28499.00	56998	56998	
At the end of the year	175631.00	209224369.00	209400000.00	418800000.00	418800000.00	
(ii) Preference shares						
At the beginning of the year	0	0	0.00	0	0	
Increase during the year	0.00	0.00	0.00	0.00	0.00	0
i Issues of shares	0	0	0.00	0	0	
ii Re-issue of forfeited shares	0	0	0.00	0	0	
iii Others, specify 0	0	0	0.00	0	0	
Decrease during the year	0.00	0.00	0.00	0.00	0.00	0
i Redemption of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify 0	0	0	0.00	0	0	

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
At the end of the year	0.00	0.00	0.00	0.00	0.00	

ISIN of the equity shares of the company: INE103C01036

ii Details of stock split/consolidation during the year (for each class of shares): 0

Class of shares		
Before split / Consolidation	Number of shares	
	Face value per share	
After split / consolidation	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

☐ Nil

Number of transfers: 121

Attachments:

1. Details of shares/Debentures Transfers: Transfer Details (1).xlsm

iv Debentures (Outstanding as at the end of financial year)

(a) Non-convertible debentures

*Number of classes: 1

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
1	3539559	1000	3539559000.00
Total	3539559.00	1000.00	3539559000.00

Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year

1	997931	2541628	0	3539559.00
Total	997931.00	2541628.00	0.00	3539559.00

(b) Partly convertible debentures

*Number of classes: 00

Classes of partly convertible debentures	**Number of units**	**Nominal value per unit**	**Total value (Outstanding at the end of the year)**
Total			

Classes of partly convertible debentures	**Outstanding as at the beginning of the year**	**Increase during the year**	**Decrease during the year**	**Outstanding as at the end of the year**
Total				

(c) Fully convertible debentures

*Number of classes: 00

Classes of fully convertible debentures	**Number of units**	**Nominal value per unit**	**Total value (Outstanding at the end of the year)**
Total			

Classes of fully convertible debentures	**Outstanding as at the beginning of the year**	**Increase during the year**	**Decrease during the year**	**Outstanding as at the end of the year**
Total				

(d) Summary of Indebtedness

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	997931.00	2541628.00	0.00	3539559.00
Partly convertible debentures	0.00	0.00	0.00	0.00
Fully convertible debentures	0.00	0.00	0.00	0.00
Total	997931.00	2541628.00	0.00	3539559.00

v Securities (other than shares and debentures) 0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V Turnover and net worth of the company (as defined in the Companies Act, 2013)

i *Turnover 9681208177

ii * Net worth of the Company 9907610827

VI SHARE HOLDING PATTERN

A Promoters

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	81660427	39.00	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00

	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	58048660	27.72	0	0.00
10	Others	0	0.00	0	0.00
	Total	139709087.00	66.72	0.00	0

Total number of shareholders (promoters) 17

B Public/Other than promoters

S. No	**Category**	**Equity**		**Preference**	
		Number of shares	**Percentage**	**Number of shares**	**Percentage**
1	Individual/Hindu Undivided Family				
	(i) Indian	29683147	14.18	0	0.00
	(ii) Non-resident Indian (NRI)	1032455	0.49	0	0.00

	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	26907323	12.85	0	0.00
10	Others FPI,AIF,IEPF,LLP,HUF	12067988	5.76	0	0.00
	Total	69690913.00	33.28	0.00	0

Total number of shareholders (other than promoters) 25233

Total number of shareholders (Promoters + Public/Other than promoters) 25250.00

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	**Category**	
1	Individual - Female	5959
2	Individual - Male	18548
3	Individual - Transgender	0
4	Other than individuals	743
	Total	25250.00

C Details of Foreign institutional investors' (FIIs) holding shares of the company

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
[Details of Promoters, Members (other than promoters), Debenture holders]

Details	At the beginning of the year	At the end of the year
Promoters	16	17
Members (other than promoters)	29676	25233
Debenture holders	4499	17567

VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A Promoter	5	2	5	2	16.67	6.42
B Non-Promoter	0	7	0	7	0.00	0.00
i Non-Independent	0	0	0	0	0	0
ii Independent	0	7	0	7	0	0
C Nominee Directors representing	0	0	0	0	0.00	0.00
i. Banks and FIs	0	0	0	0	0	0
ii Investing institutions	0	0	0	0	0	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	0	0	0	0

v Others	0	0	0	0	0	0
Total	5	9	5	9	16.67	6.42

*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date: 16

B (i) Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
SUBHASH CHAND AGGARWAL	00003267	Managing Director	16191000	
MAHESH CHAND GUPTA	00003082	Managing Director	16497000	
ANURAG BANSAL	00003294	Whole-time director	500000	
SHRUTI AGGARWAL	06886453	Whole-time director	40350	
AJAY GARG	00003166	CEO	1683200	
HIMANSHU GUPTA	03187614	Director	4000000	
PRANAY AGGARWAL	07827697	Director	9441100	
NAVEEN ND GUPTA	00271748	Director	0	
NARENDRA KUMAR	02307690	Director	0	
SARITA KAPUR	08848507	Director	0	
NEERU ABROL	01279485	Director	0	
HEMANT BHARGAVA	01922717	Director	0	
DINESH KUMAR SARRAF	00147870	Director	0	
GOBIND RAM CHOUDHARY	01104704	Director	0	
VINOD KUMAR JAMAR	AARPJ8283F	CFO	0	30/06/2026
SUMAN KUMAR	APVPK2925C	Company Secretary	0	

B (ii) *Particulars of change in director(s) and Key managerial personnel during the year: 0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)

IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

*Number of meetings held: 3

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	28/06/2025	28359	104	0.37
POSTAL BALLOT	25/10/2025	26574	152	0.57
POSTAL BALLOT	03/12/2025	26980	128	0.47

B BOARD MEETINGS

*Number of meetings held: 5

S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/05/2025	14	14	100
2	26/07/2025	14	14	100
3	24/09/2025	14	14	100
4	30/10/2025	14	14	100
5	02/02/2026	14	13	92.86

C COMMITTEE MEETINGS

Number of meetings held: 20

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee Meeting	11/05/2025	4	4	100
2	Audit Committee Meeting	26/07/2025	4	4	100
3	Audit Committee Meeting	24/09/2025	4	4	100
4	Audit Committee Meeting	30/10/2025	4	4	100
5	Audit Committee Meeting	02/02/2026	4	4	100
6	Audit Committee Meeting	17/03/2026	4	4	100
7	Risk Management Committee Meeting	10/05/2025	5	4	80
8	Risk Management Committee Meeting	26/05/2025	6	6	100
9	Risk Management Committee Meeting	25/07/2025	6	6	100
10	Risk Management Committee Meeting	26/10/2025	6	6	100
11	Risk Management Committee Meeting	01/02/2026	6	6	100
12	Nomination and Remuneration Committee	10/05/2025	3	3	100
13	Nomination and Remuneration Committee	25/07/2025	3	3	100
14	Nomination and Remuneration Committee	01/02/2026	3	2	66.67
15	Stakeholders Relationship Committee	10/05/2025	3	3	100
16	Stakeholders Relationship Committee	25/07/2025	3	3	100
17	Stakeholders Relationship Committee	26/10/2025	3	3	100

18	Stakeholders Relationship Committee	01/02/2026	3	3	100
19	Corporate Social Responsibility Committee	10/05/2025	3	3	100
20	Corporate Social Responsibility Committee	01/02/2026	3	3	100

D ATTENDANCE OF DIRECTORS

S. No	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	26/06/2026 (Y/N/NA)
1	SUBHASH CHAND AGGARWAL	5	5	100	2	2	100	Yes
2	MAHESH CHAND GUPTA	5	5	100	2	2	100	Yes
3	ANURAG BANSAL	5	5	100	9	9	100	Yes
4	SHRUTI AGGARWAL	5	5	100	4	4	100	Yes
5	HIMANSHU GUPTA	5	5	100	5	5	100	Yes
6	PRANAY AGGARWAL	5	5	100	0	0	0	Yes
7	NAVEEN ND GUPTA	5	5	100	9	9	100	Yes
8	NARENDRA KUMAR	5	5	100	10	10	100	Yes
9	SARITA KAPUR	5	5	100	0	0	0	Yes
10	NEERU ABROL	5	5	100	0	0	0	Yes
11	HEMANT BHARGAVA	5	5	100	11	11	100	Yes
12	DINESH KUMAR SARRAF	5	5	100	15	15	100	Yes
13	GOBIND RAM CHOUDHARY	5	4	80	3	2	66	Yes
14	AJAY GARG	5	5	100	5	5	100	Yes

X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

A *Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	SUBHASH CHAND AGGARWAL	Managing Director	20130080	0	0	2291520	22421600.00
2	MAHESH CHAND GUPTA	Managing Director	20187080	0	0	2291520	22478600.00
3	ANURAG BANSAL	Whole-time director	14634762	0	0	1693200	16327962.00
4	SHRUTI AGGARWALSHRUTI AGGARWAL	Whole-time director	16588560	0	0	685440	17274000.00
	Total		71540482.00	0.00	0.00	6961680.00	78502162.00

B *Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	AJAY GARG	CEO	16054400	0	0	711600	16766000.00
2	SUMAN KUMAR	Company Secretary	10564399	0	0	627326	11191725.00
3	VINOD KUMAR JAMAR	CFO	9515390	0	0	1074134	10589524.00
	Total		36134189.00	0.00	0.00	2413060.00	38547249.00

C *Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	DINESH KUMAR SARRAF	Director	0	0	0	908500	908500.00
2	GOBIND RAM CHOUDHARY	Director	0	0	0	395000	395000.00
3	HEMANT BHARGAVA	Director	0	0	0	803500	803500.00

4	NARENDRA KUMAR	Director	0	0	0	758500	758500.00
5	NEERU ABROL	Director	0	0	0	456000	456000.00
6	SARITA KAPUR	Director	0	0	0	435000	435000.00
7	NAVEEN ND GUPTA	Director	0	0	0	716000	716000.00
	Total		0.00	0.00	0.00	4472500.00	4472500.00

XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A *Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year

◉ Yes ○ No

B If No, give reasons/observations

XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A *DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/ DIRECTORS/OFFICERS

☑ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B *DETAILS OF COMPOUNDING OF OFFENCES

☑ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

XIII Details of Shareholder / Debenture holder

Number of shareholder/ debenture holder | 42817

XIV Attachments

(a) List of share holders, debenture holders | Details of Shareholder or Debenture holder (2).xlsm

(b) Optional Attachment(s), if any | SMC Global Securities LimitedSHT.pdf
MGT-8_SMC Global_25-26.pdf
CLARIFICATION LETTER.pdf

XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
(d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of SMC GLOBAL SECURITIES LIMITED as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY) 31/03/2026

In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:
A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1 its status under the Act;
2 maintenance of registers/records & making entries therein within the time prescribed therefor;
3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal , Court or other authorities within/beyond the prescribed time;
4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5 closure of Register of Members / Security holders, as the case may be.
6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
7 contracts/arrangements with related parties as specified in section 188 of the Act;

8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15 acceptance/ renewal/ repayment of deposits;
16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by Sandeep Kumar Digitally signed by Sandeep Kumar Date: 2026.08.10 18:11:14 +05'30'

Name: Sandeep Kumar

Date (DD/MM/YYYY): 10/08/2026

Place: NEW DELHI

Whether associate or fellow:

○ Associate ● Fellow

Certificate of practice number: 1*0*7

XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014

*(a) DIN/PAN/Membership number of Designated Person: APVPK2925C

*(b) Name of the Designated Person: SUMAN KUMAR

Declaration

I am authorised by the Board of Directors of the Company vide resolution number* 04 dated*

(DD/MM/YYYY) | 07/08/2019 | to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:
1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2 All the required attachments have been completely and legibly attached to this form.

***To be digitally signed by** | ANURAG BANSAL Digitally signed by ANURAG BANSAL Date: 2026.08.10 17:50:44 +05'30'

*Designation | Director

(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))

*DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator | 0*0*3*9*

***To be digitally signed by** | SUMAN KUMAR Digitally signed by SUMAN KUMAR Date: 2026.08.10 17:51:28 +05'30'

◉ Company Secretary ○ Company secretary in practice

*Whether associate or fellow:

○ Associate ◉ Fellow

Membership number | 5*2*

Certificate of practice number |

Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.

For office use only:

eForm Service request number (SRN) | AC5177114

eForm filing date (DD/MM/YYYY) | 10/08/2026

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

SNK & Associates

COMPANY SECRETARIES

2nd Floor, House No. 104, Saidulajab Village, Near Saket Metro Gate No.2, New Delhi-110030

Phone No. 011-41070236
Email: csskroy.snk@gmail.com; M: 9911019008
cacsskroy.snk@gmail.com; 8076345185

Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11 (2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **SMC Global Securities Limited (CIN: L74899DL1994PLC063609)** (the **"Company"**) as required to be maintained under the Companies Act, 2013 and the rules made thereunder for the financial year ended on 31st March, 2026. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid Financial Year, the Company has complied with provisions of the Act & Rules made there under in respect of:

1) **It's Status under the Act;**
The Company is a public company, the equity shares of which are listed on BSE Limited and National Stock Exchange of India Limited, and its debentures are listed on BSE Limited

2) **Maintenance of registers/records & making entries therein within the time prescribed therefor;**
The Company has maintained all the statutory registers and records as required to be maintained under the Companies Act, 2013.

3) **Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;**
The Company has filed all the required e-forms as per the provisions of the Companies Act, 2013.

4) **Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;**

During the financial year 2025–26, proper notices were duly given for calling, convening, and holding meetings of the Board of Directors, its committees, and the members of the Company on the due dates as stated in the Annual Return. The proceedings of such meetings have been appropriately recorded in the minute's books/registers maintained for the purpose.

During the reporting year, the Company conducted two postal ballots. In respect of the postal ballot, the result of which was declared on 27th October, 2025, one resolution was passed pertaining to the approval for issuance of bonus shares, and the Company duly filed the requisite e-forms with the Registrar of Companies and made necessary intimations to the Stock Exchanges. Further, in respect of the postal ballot, the result of which was declared on 4th December, 2025, one resolution was passed relating to the alteration of the Object Clause of the Memorandum of Association, and the Company has complied with the requisite filings with the Registrar of Companies and necessary intimations to the Stock Exchanges.

5) **Closure of Register of Members / Security holders, as the case may be;**

The Company closed the Register of Members and Share Transfer Book from 14th June, 2025 to 17th June, 2025 for the purpose of Annual General Meeting and declaration of Final Dividend for the FY 2024-25.

6) **Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;**

Not Applicable during the Financial Year under review.

7) **Contracts/arrangements with related parties as specified in section 188 of the Act;**

During the year under review the contracts/arrangement entered with the related parties were in compliance with the provisions of Section 188 of the Companies Act, 2013. All transactions were in ordinary course and arm's length basis.

8) **Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;**

During the year under review, the Company issued bonus equity shares in the ratio of 1:1. The issue was approved by the Board of Directors at its meeting held on 24th September, 2025 and subsequently by the shareholders through postal ballot, the results of which were declared on 25th October, 2025.

SNK & ASSOCIATES
COP: 11097
Company Secretary

Pursuant to the aforesaid approvals, the Company allotted 10,47,00,000 (Ten Crore Forty-Seven Lakh) equity shares of face value ₹2/- each as bonus shares. The bonus issue was made by capitalizing a sum of ₹20,94,00,000/- (Rupees Twenty Crore Ninety-Four Lakh only) from the Securities Premium Account and/or Capital Redemption Reserve, as approved by the shareholders. Consequently, the paid-up equity share capital of the Company stands increased to 20,94,00,000 equity shares of ₹2/- each, aggregating to ₹41,88,00,000/-.

Further, during the financial year, the Company allotted listed secured Non-Convertible Debentures (NCDs) on 24th April, 2025 and 30th October, 2025, aggregating to 12,03,042 and 13,38,586 NCDs, respectively. Both the aforesaid issuances were duly listed on BSE Limited.

9) **Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;**

Not Applicable during the year under review.

10) **Declaration/payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;**

At the Annual General Meeting of the Company held on 28th June, 2025, the members considered and approved the payment of a final dividend of 60% on the paid-up share capital of the Company for the financial year 2024–25. Further, during the year under review, the Board of Directors declared an interim dividend of 30% on the paid-up share capital for the financial year 2025–26, which was duly paid to the shareholders within the stipulated time period.

Further, during the year under review, the Company transferred to the Investor Education and Protection Fund (IEPF) the unpaid and unclaimed final dividend pertaining to FY 2017–18 amounting to ₹5,63,632 (Rupees Five Lakh Sixty-Three Thousand Six Hundred and Thirty-Two only) along with 12,050 corresponding equity shares, and the unpaid and unclaimed interim dividend pertaining to FY 2018–19 amounting to ₹5,35,460 (Rupees Five Lakh Thirty-Five Thousand Four Hundred and Sixty only) along with 4000 corresponding equity shares, in accordance with the applicable provisions of law.

11) **Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;**

The Company has duly complied with the provisions of Section 134 in signing of audited financial statement and report of directors is as per sub-sections (3), (4) and (5) thereof;

12) **Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;**

There was no any constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies on the Board during the year under review.

SNK & ASSOCIATES New Delhi COP: 11097 Company Secretary

However, the remuneration of certain Directors and Key Managerial Personnel (KMP) was revised by the Board and shareholders, in line with the limits approved by the shareholders and in compliance with the applicable provisions of the Companies Act, 2013.

13) **Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;**

M/s P C Bindal & Co., Chartered Accountant was appointed as a Statutory Auditor of the Company for a period of 5years at 30th Annual General Meeting held in last year on 22nd June 2024.

Further, the shareholders of the Company at the AGM held on 28th June, 2025 considered and approved appointment of M/s A. K. Roy & Associates, Practicing Company Secretaries (Firm Registration Number: S2010DE134500) as Secretarial Auditors of the Company for the period of five years commencing from the FY 2025-26 to FY 2029-30.

14) **Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;**

Not Applicable during the year under review.

15) **Acceptance/ renewal/ repayment of deposits;**

Not Applicable during the year under review.

16) **Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;**

During the year under review, the borrowings obtained by the Company from its members, public financial institutions, banks and others were within the limits as approved by the shareholders under section 180(1)(a) and 180(1)(c) of Companies Act, 2013 and necessary forms were filed with the MCA for creation, modification and satisfaction of charges, wherever applicable.

17) **Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;**

During the year under review, the Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act were within the limits as approved by the shareholders and in compliance of the provisions of Section 186 of the Companies Act, 2013.

SNK & ASSOCIATES
M. No. 11468
New Delhi
COP. 11087
Company Secretary

18) **Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;**

During the year under review, there was a change in the Memorandum of Association of the Company on account of alteration in the Object Clause. However, there was no change in the Articles of Association of the Company during the year under review.

For SNK AND ASSOCIATES
(Peer Review No.: 3039/2023)

SNK & ASSOCIATES M. No. 11256 COP: 11097 Company Secretary

Sandeep Kumar
(Proprietor)
M. No.- F11256
CP No.-11097
UDIN: F011256H000170789

Place: New Delhi
Date: 22.04.2026

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
04/06/2025	1	2000	000002	00002213	NARAIN CHAND MITTAL	CD000001	CENTRAL DEPOSITORY S
01/09/2025	1	1000	000002	00001057	UMESH KUMAR DALMIA	ND000001	NATIONAL SECURITY DE
02/09/2025	1	10000	000002	IEPF2017	IEPF2017	CD000001	CDSL
02/09/2025	1	1000	000002	00001692	SHAMA RANI	IEPF2017	IEPF2017
02/09/2025	1	1000	000002	00003149	SANJEEV KUMAR	IEPF2017	IEPF2017
02/09/2025	1	2000	000002	00002651	SUSHILA GHIYA	IEPF2017	IEPF2017
02/09/2025	1	1000	000002	00002657	OMPRAKASH GHIYA	IEPF2017	IEPF2017
02/09/2025	1	1000	000002	00002008	SANGITA MODI	IEPF2017	IEPF2017
02/09/2025	1	1000	000002	00002011	BABULAL N MODI	IEPF2017	IEPF2017
02/09/2025	1	1000	000002	00003300	K N RAO	IEPF2017	IEPF2017
02/09/2025	1	2000	000002	00005215	VINOD KUMAR	IEPF2017	IEPF2017
24/10/2025	1	1	000002	CD000001	CENTRAL DEPOSITORY S	0006708	SUNDEEP SINGH
04/11/2025	1	500	000002	00006706	PRABHAKAR PAMIDIKOND	CD000001	CENTRAL DEPOSITORY S
14/11/2025	1	5000	000002	00005936	MANOJ KUMAR PARNAMI	ND000001	NATIONAL SECURITY DE
17/11/2025	1	2000	000002	00006002	SANJAY BATRA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006097	AJAY BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006099	AJAY BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006101	AJAY BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00006103	AJAY BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006105	AJAY BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006107	MAHESH CHAND BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006108	MAHESH CHAND BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006111	MAHESH CHAND BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006112	MAHESH CHAND BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006114	MAHESH CHAND BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006116	VEENA BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006119	VEENA BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006120	VEENA BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006122	RENU BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006123	RENU BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006124	RENU BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006126	RENU BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006127	VIBHA BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006128	VIBHA BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006138	SANT RAM	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006139	PARDEEP KUMAR	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006140	MANGAT RAM	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006141	MOHAN	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006142	MOHINDER KUMAR	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00003734	GAYATRI DEVI	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00003785	JAGDISH RAI	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00003980	NARENDER KUMAR	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00003981	BAJRANG LAL CHANGIA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00004134	AMITA JAIN	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00004139	DHARAM VIR TANEJA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00004868	RADHEY SHYAM KHATOR	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00004887	JEET SINGH KASWAN	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00004903	JAGDISH SHARMA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00004904	MAHESH KUMAR SHARMA	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00004910	SHALINI BAID	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00005008	VIKAS MALIK	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00005075	ARCHNA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00005100	R A GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00005102	KULDEEP GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00005103	URMILA GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00005210	RAJESH ARORA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002014	PADMA GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00002016	MUKESH GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002021	CHANDRA BALA DWIVEDI	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00002081	DAVINDER KUMAR GARG	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002153	POORAN CHAND AVASTHI	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002180	SUMATI CHAND NAHTA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002204	MADHU BALA SHARMA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002698	NISHA D JOGANI	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002757	TARAK P PARIKH	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002906	RAJIV KUMAR	BON112025	SMC Global Securitie
17/11/2025	1	500	000002	00003202	D V TANEJA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00002256	CHANDANBEN HEMANI	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00001858	KANCHAN HASIJA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00001996	ANAND JAIN	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00001204	AWTAR SINGH	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00001370	BHARAT SINGH	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00000104	MANISH C SHAH	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00000129	NARENDRA C SHAH	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00000162	JYOTI KODNANI	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00000279	AMIT KUMAR	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00000507	ANIL KUMAR GULATI	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00000608	RAJESH GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00000644	VIBHA BANSAL	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00000649	VIVEK BUBBER	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00000859	KANTA DEVI	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00000860	SURESH CHAND	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00000870	RAVI BALA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00000949	SHYAM SUNDER	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00001014	HARISH CHANDER MAKKA	BON112025	SMC Global Securitie
17/11/2025	1	1	000002	00006708	SUNDEEP SINGH	BON112025	SMC Global Securitie
17/11/2025	1	41000	000002	00005687	SURENDRA KUMAR GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	200	000002	REJ50001	USHA VENUGOPALAN	BON112025	SMC Global Securitie
17/11/2025	1	5000	000002	00005814	SURESH ARORA	BON112025	SMC Global Securitie
17/11/2025	1	6000	000002	00005815	SAVITRI DEVI	BON112025	SMC Global Securitie
17/11/2025	1	16000	000002	00005846	JAGANNATH PRASAD GUP	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00005879	SANTOSH DEVI	BON112025	SMC Global Securitie

SMC Global Securities Limited
Details of shares/debentures 01/04/2025 To 31/03/2026 Closure Date : 31-03-2025

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
17/11/2025	1	1000	000002	00005919	AJAY GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	10000	000002	00006143	MEERA	BON112025	SMC Global Securitie
17/11/2025	1	4000	000002	00006200	SANTOSH GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006201	ROHIT JOLLY	BON112025	SMC Global Securitie
17/11/2025	1	8000	000002	00006241	RAKESH MANCHANDA	BON112025	SMC Global Securitie
17/11/2025	1	1000	000002	00006368	HARSH GANDHI	BON112025	SMC Global Securitie
17/11/2025	1	4000	000002	00006410	RAJENDRA KUMAR GUPTA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006467	PUSHPA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006483	MANJU BHARGAVA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006494	KRISHAN LAL CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006509	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006510	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006511	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006512	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006513	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006514	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006515	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006516	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006517	CHETAN CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	10	000002	00006518	KRISHAN LAL CHADHA	BON112025	SMC Global Securitie
17/11/2025	1	2000	000002	00006691	DHARAM PAL VERMA	BON112025	SMC Global Securitie
17/11/2025	1	185831	000002	BON11202	SMC GLOBAL SECURITIE	CD000001	Smc Global Securitie
28/01/2026	1	4000	000002	IEPF2017	IEPF2017	CD000001	CDSL
28/01/2026	1	1000	000002	00000279	AMIT KUMAR	IEPF2017	IEPF2017
28/01/2026	1	1000	000002	00002906	RAJIV KUMAR	IEPF2017	IEPF2017
28/01/2026	1	1000	000002	00004887	JEET SINGH KASWAN	IEPF2017	IEPF2017
28/01/2026	1	1000	000002	00006142	MOHINDER KUMAR	IEPF2017	IEPF2017
11/02/2026	1	1000	000002	00006368	HARSH GANDHI	ND000001	NATIONAL SECURITY DE
24/02/2026	1	5000	000002	00005814	SURESH ARORA	CD000001	CENTRAL DEPOSITORY S

CLARIFICATION LETTER

To,
The Registrar of Companies
Ministry of Corporate Affairs
Government of India

<u>Subject: Clarification regarding inclusion of Mr. Ganesh Chandra Badhani as Key Managerial Personnel (KMP) of the Company</u>

Dear Sir/Madam,

With reference to the records and disclosures of the Company, we hereby clarify that **Mr. Ganesh Chandra Badhani, Chief Information Security Officer (CISO)**, has been appointed as a **Key Managerial Personnel (KMP) of the Company** by the Board of Directors at its meeting held on **July 26, 2025**.

The details of Mr. Ganesh Chandra Badhani are as under:

Particulars	Details
Name	Mr. Ganesh Chandra Badhani
Designation	Chief Information Security Officer (CISO)
Designation as KMP	Key Managerial Personnel
PAN	**APDPB5733K**
Qualification	Bachelor's Degree in Information Technology
Date of appointment as KMP	July 26, 2025

The aforesaid appointment was made in accordance with the requirements prescribed under the **SEBI Circular dated February 06, 2023, on "Enhanced obligations and responsibilities on Qualified Stock Brokers (QSBs)"**. The said circular specifically provides that the CISO of a QSB shall be designated as a **Key Managerial Personnel (KMP)** and shall directly report to the Managing Director & Chief Executive Officer of the QSB.

We request you to kindly take the above clarification on record.