

SMC Global Securities Limited
Registered Office: 11/6-B, Shanti Chamber,
Pusa Road, New Delhi-110005
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Website: www.smcindiaonline.com
CIN: L74899DL1994PLC063609



MANDATORY DEMATERIALIZATION FOR TRANSFER OF PHYSICAL SHARES
ALL SHAREHOLDERS / STAKEHOLDERS

Securities Exchange Board of India (SEBI) vide SEBI (Listing Regulations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018 dated 8th June, 2018 has amended Regulation 40 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, whereby transfer of securities of a listed company would not be processed unless the securities are held in dematerialized form. The said regulations shall be effective from **1st April, 2019** and all the shares lodged for transfer thereafter shall be in dematerialized form only.

Pursuant to the said amendment, please note that with effect from April 1, 2019 –

Transfer of securities in physical form	Not allowed
Holding of securities in physical form	Allowed
Transmission/ Transposition of securities held in physical form	Allowed

In view of the aforesaid, Shareholders holding shares in physical form are requested to arrange the dematerialization of their shares at earliest to avoid any inconvenience in future for transferring those shares. Dematerialization of shares has various advantages like immediate transfer of shares, no stamp duty on transfer, immediate credit of dividend due to linkage of bank account, elimination of risks associated with physical certificates such as forged transfer, bad deliveries, etc. The process or dematerialization of shares is as follows: 1) Shareholder should be in possession of original share certificate of the Company. In case the shareholder is not in possession of the original share certificate the Company, he/she can contact the Company or Registrar & Transfer Agent on the address given hereinbelow. 2) Shareholder needs to verify if his/her demat account is in the same name / order in which his/her name appears on the physical share certificate. Any mismatch in name will lead to non-acceptance of demat request by the Depository Participant (“DP”). 3) Shareholder shall approach his/her Depository Participant where he/she holds a demat account and surrender the original share certificate along with the Dematerialization Request Form available with DP. At the time of surrendering the share certificate for dematerialization, shareholder shall submit a copy of PAN card and AADHAR card. The ISIN for Demat of shares of the Company is **INE103C01036**. 4) Thereafter, DP shall send the certificate along with other documents to Registrar & Transfer Agent who would check all the details and issue instructions for dematerialization of the shares.

You may also visit web site of depositories viz., NSDL or CDSL for further understanding about the demat procedure:

NSDL website: <https://nsdl.co.in/faqs/faq.php> (dematerialization)

CDSL website: <https://www.cdslindia.com/downloads/faq/Demat%20CDSL%20Way%20-%20V%20-%20Dematerialization.pdf>

In case if you have any queries or need any assistance in this regard, please contact;

<p>The Company Secretary & Compliance Office SMC Global Securities Limited Address: 11/6B, Shanti Chamber, Pusa Road, New Delhi – 110005 Tel: 011-30111000 Email: sumankumar@smcindiaonline.com Website: www.smcindiaonline.com</p>	<p>Registrar & Transfer Agent Link Intime India Private Limited Unit: SMC Global Securities Limited Address: Noble Heights, 1st floor, Plot No NH-2, C-1 Block, LSC, Near Savitri Market, Janakpuri, New Delhi – 110058 Email: rnt.helpdesk@linkintime.co.in Website: www.linkintime.co.in</p>
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