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# SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

**Date: 03.07.2020**

**To,**

**The Manager,  
Compliance Department,  
The Calcutta Stock Exchange Limited  
7, Lyons Range, Dalhousie,  
Kolkata 700001  
Phones: 4025 3000 (Board)**

**Sub: Disclosure under regulation 7(2)(b) of SEBI (Prohibition of Insider Trading) Regulation, 2015**

Dear Sir,

We hereby inform you that Company has received disclosure in 'Form C' under Regulation 7(2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015 on 03<sup>rd</sup> July, 2020 from Pulin Investments Private Limited.

Accordingly, we hereby notify and submit to the Stock Exchange the particulars of above disclosure as required under Regulation 7(2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

You are requested to take note of the above and acknowledge the receipt of the same.

Thanking You,

Sincerely,

**For SMC Global Securities Limited**

**(Suman Kumar)**

**E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer  
(Membership No. F5824)**

**MUMBAI OFFICE** : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East  
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**FORM C**

SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]

Name of the company: SMC Global Securities Limited  
 ISIN of the company: INE103C01036

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

1 Name, PAN, CIN/DIN, & address with contact nos.	2 Category of Person (Promoters/ KMP / Director s/ immediate relatives to/ others etc.)	3 Securities held prior to acquisition/disposal		6 Securities acquired/Disposed			7 Securities held post acquisition/disposal		8 Date of allotment- advice/ acquisition of shares/ sale-of shares specify		
		Type of security (For eg. Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	Type of security (For eg. Shares, Warrants, Convertible Debentures etc.)	No.	Value	Transaction Type (Buy/Sale/Pledge-Revoked/ Invoke)	Type of security (For eg. Shares, Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To
1 Name: Pulin Investments Private Limited PAN: AADCP2350G CIN: U74899DL1995PTC074891 Address: 9B, Netaji Subhash Marg, Daryaganj, New Delhi-110002 Contact: 011-30111000	2 Promoter Group	3 Equity Shares	4 89,77,205 & 7.93%	5 Equity Shares	6 3,00,000	7 1,80,00,000	8 Acquisition of Equity shares (Buy)	9 Equity Shares	10 92,77,205 & 8.20%	11 02.07.2020	12 -

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

Date of intimation to company	Mode of acquisition / disposal (on market/public/ rights/ preferential offer / off market/ Inter-se transfer, ESOPs etc.)	Type of contract	Contract specifications	Trading in derivatives (Specify type of contract, Futures or Options etc)		Exchange on which the trade was executed	
				Buy	Sell		
			Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
13	14	15	17	18	19	20	21
03.07.2020	Off Market						

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

For SMC Global Securities Limited

(Suman Kumar)

E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer  
(Membership No. F5824)

Date: 03.07.2020

Place: New Delhi