



Moneywise. Be wise.

SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

Regd. Office : 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 9th October, 2020

To,

The Manager
Listing Department,
Calcutta Stock Exchange Limited
7, Lyons Range, Dalhousie
Kolkata – 700001, West Bengal

Subject: Submission of the Reconciliation of Share Capital Audit Report Regulation 76 of SEBI (Depositories And Participants) Regulations, 2018 for the second quarter ended on 30th September, 2020.

Dear Sir,

This is with reference to the captioned subject matter. In this regard, we are enclosing herewith the Reconciliation of Share Capital Audit Report for the second quarter ended 30th September, 2020 in pursuant to the Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited.

Suman Kumar
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)

**Enclosed: Annexure I- Reconciliation of Share Capital Audit Report from PCS
Annexure II- Reconciliation Statement from RTA**

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : smcmumbai@smcindiaonline.com

KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004
E-mail : smckolkata@smcindiaonline.com





SNK & Associates

COMPANY SECRETARIES

G- 1 Ground Floor, South Extension-II New Delhi-110049

Ph.: 011-41649625, 41649626

Mob.: 9911019008

E-mail : cssandeepkumarroy@gmail.com

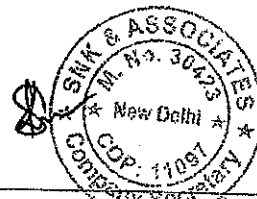
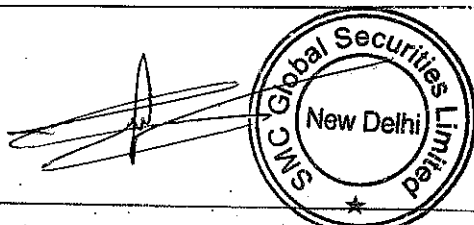
csskroy.snk@gmail.com

Annexure I

Reconciliation of Share Capital Audit Report

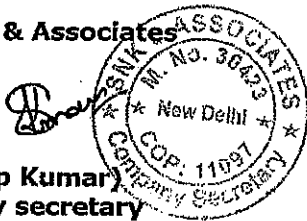
Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulation, 2018

1.	For Quarter Ended	30 th September, 2020					
2.	ISIN:	INE 103C01036					
3.	Face Value:	Rs.2/- each Equity Shares					
4.	Name of the Company:	SMC GLOBAL SECURITIES LIMITED					
5.	Registered Office Address:	11/6B, Shanti Chamber, Pusa Road, New Delhi-110005					
6.	Correspondence Address	- do -					
7.	Telephone & Fax Nos.	Ph. 011-30111000, 40753333 & Fax. 91-011-25754365					
8.	Email address	smc@smcindiaonline.com					
9.	Name of the Stock Exchanges where the company's securities are listed : C.S.E						
		Number of shares		% of Total Issued Capital			
10.	Issued Capital	113134450		100			
11.	Listed Capital (Exchange-wise) (as per company records)	C.S.E - 113134450		100			
12.	Held in dematerialized form in CDSL	83793323		74.06			
13.	Held in dematerialized form in NSDL	16807917		14.86			
14.	Physical	12533210		11.08			
15.	Total No. of shares (12+13+14)	113134450		100			
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15) : N.A						
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below :						
	Particulars* **	No. of Shares	Applied/ Not Applied for Listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In Prin. Approval pending from SE (Specify Names)
	N.A	N.A	N.A	N.A	N.A	N.A	N.A
*** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)							
18.	Register of Members is updated (Yes/No). If Not, updated up to which date					Yes	



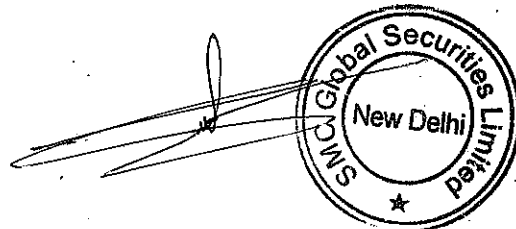
19.	Reference of previous quarter with regards to excess dematerialized shares if any	N.A		
20.	Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?	N.A		
21.	Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:			
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
	Confirmed after 21 Days	Nil	N.A	N.A
	Pending for more than 21 days	Nil	N.A	N.A
22.	Name, Telephone & Fax No. of the Compliance Officer of the Co.	Mr. Suman Kumar Tel. 011-30111333 Fax. 011-23258027		
23.	Name, Address, Tel & Fax No., Reg. No. of the Auditor:	SNK & Associates Address: G-1, Ground Floor, South Extension Part-II, New Delhi-110049 Telephone No.: 011-41649625,26 Mobile No.: 9911019008 COP: 11097		
24.	Appointment of common agency for share registry work	Yes- LINK INTIME INDIA PVT. LTD. NOBLE HEIGHTS, 1ST FLOOR, PLOT NH 2 C-1 BLOCK LSE, NEAR SAVITRI MARKET JANAKPURI NEW DELHI- 110058		
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE).	N.A		

For SNK & Associates



(Sandeep Kumar)
Company secretary
COP: 11097

Place: New Delhi
Date: 07.10.2020
UDIN: A030423B000873201



The Company Secretary
M/S. SMC GLOBAL SECURITIES LIMITED
17, Netaji Subhash Marg
Opp. Golcha Cinema, Darya Ganj
New Delhi - 110002

Date: 06/10/2020

Dear Sir,

Please find given hereunder reconciliation statement of your company in both physical & Electronic segment as on 30/09/2020.

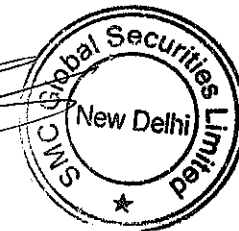
TYPE	NO. OF SHARES
1. NSDL	16807917
2. CDSL	88793323
3. PHYSICAL	12539210
TOTAL	118134450

Thanking you,

Yours truly,

For LINK INTIME INDIA PRIVATE LTD.


(AUTHORISED SIGNATORY)



The Company Secretary
M/S. SMC GLOBAL SECURITIES LIMITED
17, Netaji Subhash Marg
Opp. Golcha Cinema, Darya Ganj
New Delhi - 110002

Date: 06/10/2020

Dear Sir,

With reference to your letter and pursuant to SEBI circular D & CC/FITTC/CIR-16/2002, dated 30/12/2002, we confirm as under as on 30/09/2020.

1. That in term of para (1) 168,07,917 number of shares stands in NSDL A/c. 837,93,323 number of shares stands in CDSL A/c. and balance 125,33,210 number of shares stands in physical mode.
2. (a) That no request received for transfer of shares during the period of 01/07/2020 to 30/09/2020.
(b) That no request received for issue of duplicate, transmission, consolidation, rematerialisation, split, replacement during period 01/07/2020 to 30/09/2020.
(c) That no request received for dematerialization during the period of 01/07/2020 to 30/09/2020 have been considered, given effect in the register of member.
3. That in item of para (2) of the said circular the register of member giving effect to the above has been updates as on 30/09/2020.
4. That in item of para (3) of the said circular on the dematerialization request have been confirmed and there is no shares pending confirmation for more than 15 days from the date of receiving.

Thanking you,

Yours truly,

For LINK INTIME INDIA PRIVATE LTD.


(AUTHORISED SIGNATORY)

