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SMC GLOBAL SECURITIES LTD.

Member : National Stock Exchange (NSE Id - 07714)
: Bombay Stock Exchange Ltd. (BSE id - 470)
: Metropolitan Stock Exchange (MSE Id - 1002)

CM & TM : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438

REGD. OFFICE :

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com
CIN No. : L74899DL1994PLC063609

Date: 12th July, 2019

To
The Manager
Listing Department,
Calcutta Stock Exchange Limited
7, Lyons Range Kolkata – 700001

Ref: Regulation 27 (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Sub: Submission of Quarterly Compliance Report on Corporate Governance for the First Quarter ended 30th June, 2019.

Dear Sir(s),

This is with reference to the captioned subject matter. In this regard we are enclosing herewith the Quarterly Compliance Report on Corporate Governance, pertaining to Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the First Quarter ended on 30th June, 2019.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited



Ajay Garg
Director & Chief Executive Officer
DIN: 00003166

Enclosed: Annexure I- Quarterly Compliance Report on Corporate Governance

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ANNEXURE-I

Quarterly Compliance Report on Corporate Governance

Name of the listed Entity: **SMC Global Securities Limited**
 Quarter Ended: **30th June, 2019**

I. Composition of Board of Directors									
Title (Mr./Ms.)	Name of the Director	PAN* & DIN	Category** (Chairperson/Executive/ Non-Executive /independent/ Nominee)	Date of Appointment in the current term /cessation	Tenure ***	No. of Directorship in listed entities including this listed entity	No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	
Mr.	Subhash Chand Aggarwal	PAN- AAJPA9387K DIN- 00003267	Chairman/Managing Director	29/01/2015	N.A.	1	Nil	Nil	
Mr.	Mahesh Chand Gupta	PAN- AAAPG3736D DIN- 00003082	Vice-Chairman/Managing Director	01/09/2017	N.A.	1	1	Nil	
Mr.	Ajay Garg	PAN- ABXPA2346P DIN-	Director/CEO	14/05/2018	N.A.	1	1	Nil	

Mr.	Anurag Bansal	00003166	Whole Time Director	28/03/2019	N.A.	1	Nil	Nil
Mr.	Chandra Wadhwa	PAN- AAAPB5180A DIN- 00003294	Independent/Non Executive Director	01/04/2019	4 Years, 9 Months	1	1	Nil
Mr.	Naveen ND Gupta	PAN- AEQPG6833D DIN- 00271748	Independent/Non Executive Director	31/01/2018	1 Year, 5 Months	1	1	Nil
Mr.	Roop Chand Jindal	PAN- AAHPJ3587C DIN- 01450916	Independent/Non Executive Director	01/04/2019	4 Years, 9 Months	1	1	Nil
Mr.	Hari Das Khunteta	PAN- AAHPK9670R DIN- 00061925	Independent/Non Executive Director	01/04/2019	4 Years, 9 Months	1	2	1
Mr.	Kundan Mal Agarwal	PAN- AAKPA5644C DIN- 00043115	Independent/Non Executive Director	01/04/2019	4 Years, 9 Months	1	3	2
Mrs.	Madhu Vij	PAN- AADPV0701A DIN- 00025006	Independent/Non Executive Director	01/04/2019	4 Years, 9 Months	3	2	Nil
Mr.	Himanshu Gupta	PAN- AIEPG2872J DIN- 03187614	Non Executive Director	21/02/2018	N.A.	1	Nil	Nil

Ms.	Shruti Aggarwal	PAN-APZPA8483R DIN-06886453	Non Executive Director	16/06/2017	N.A.	1	Nil	Nil
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NOTE:

- * PAN number of any director would not be displayed on the website of Stock Exchange
- ** Category of Directors means Executive / Non executive/ independent / Nominee. If a Director fits into more than one category write all categories separating them with hyphen.
- *** To be filled only for Independent Director. Tenure would mean total period from which Independent Director is serving on Board of Directors of the listed entity in continuity without any cooling off period. (The Independent Directors have been appointed under the provisions of the Companies Act, 2013 and their tenure is considered from the date of appointment under the Companies Act, 2013).

II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)
1. Audit Committee	1. Mr. Hari Das Khunteta	Chairman / Independent / Non Executive Director
	2. Mr. Roop Chand Jindal	Independent / Non Executive Director
	3. Mr. Naveen ND Gupta	Independent / Non Executive Director
	4. Mr. Kundan Mal Agarwal	Independent / Non Executive Director
2. Nomination & Remuneration Committee	1. Mr. Chandra Wadhwa	Chairman / Independent / Non Executive Director
	2. Mr. Naveen ND Gupta	Independent / Non Executive Director
	3. Mr. Roop Chand Jindal	Independent / Non Executive Director
	4. Mr. Kundan Mal Agarwal	Independent / Non Executive Director
3. Risk Management Committee (if applicable)	Not Applicable	Not Applicable
4. Stakeholders Relationship Committee'	1. Mr. Kundan Mal Agarwal	Chairman / Independent / Non Executive Director
	2. Mr. Hari Das Khunteta	Independent / Non Executive Director
	3. Mr. Roop Chand Jindal	Independent / Non Executive Director

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
30.01.2019	11.05.2019	101 Days

IV. Meeting of Committees				
A. Audit Committee				
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days	
11.05.2019	Yes Total Number of Members: 4 Number of Members Present: 4	30.01.2019	101 Days	
B. Nomination and Remuneration Committee				
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days	
11.05.2019	Yes Total Number of Members: 4 Number of Members Present: 4	N.A	N.A	
C. CSR Committee				
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days	
11.05.2019	Yes Total Number of Members: 3 Number of Members Present: 3	30.01.2019	101 Days	
D. Stake Holders Relationship Committee				
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days	
11.05.2019	Yes Total Number of Members: 3 Number of Members Present: 3	30.01.2019	101 Days	
V. Related Party Transactions				
Subject	Compliance status (Yes/No/NA) refer note below			

Whether prior approval of audit committee obtained	N.A.
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	YES

NOTE:

- 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the board has been composed in accordance with the requirements of the Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.**
- 2. If the status is "No" details of non-compliance may be given here.**

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5. This report shall be placed before the Board of Directors of the Company in the Next Board Meeting.

For SMC Global Securities Limited



Ajay Garg
Director & Chief Executive Officer
DIN: 00003166