

General information about company	
Scrip code	543263
NSE Symbol	SMCGLOBAL
MSEI Symbol	
ISIN	INE103C01036
Name of the entity	SMC GLOBAL SECURITIES LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Yearly
Date of Report	31-03-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Subhash Chand Aggarwal	AAJPA9387K	00003267	Executive Director	Chairperson	MD	02-07-1956	NA		19-12-1994	29-01-2020		1	0	0	0			
2	Mr	Mahesh Chand Gupta	AAAPG3736D	00003082	Executive Director	Not Applicable	MD	30-01-1957	NA		01-09-2007	01-09-2017		1	0	1	0			
3	Mr	Ajay Garg	ABXPA2346P	00003166	Executive Director	Not Applicable	CEO	31-12-1973	NA		28-03-2009	14-05-2018		1	0	1	0			
4	Mr	Anurag Bansal	AAAPB5180A	00003294	Executive Director	Not Applicable		21-04-1975	NA		28-03-2009	28-03-2019		1	0	0	0			

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
5	Mr	Chandra Wadhwa	AAAPW5444L	00764576	Non-Executive - Independent Director	Not Applicable		06-06-1958	NA		03-09-2012	01-04-2019		114	1	1	1	1
6	Mr	Naveen ND Gupta	AEQPG6833D	00271748	Non-Executive - Independent Director	Not Applicable		08-11-1972	NA		31-01-2018	31-01-2018		50	1	1	4	0
7	Mr	Roop Chand Jindal	AAHPJ3587C	01450916	Non-Executive - Independent Director	Not Applicable		05-01-1948	NA		25-05-2007	01-04-2019		178	1	1	2	0
8	Mr	Hari Das Khunteta	AAHPK9670R	00061925	Non-Executive - Independent Director	Not Applicable		20-07-1952	NA		12-10-2012	01-04-2019		113	1	1	2	1

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
9	Mr	Kundan Mal Agarwal	AAKPA5644C	00043115	Non-Executive - Independent Director	Not Applicable		05-03-1949	NA		10-01-1995	01-04-2019		326	1	1	3	2
10	Mrs	Madhu Vij	AADPV0701A	00025006	Non-Executive - Independent Director	Not Applicable		16-07-1955	NA		22-09-2014	01-04-2019		90	2	2	1	0
11	Mr	Himanshu Gupta	AIEPG2872J	03187614	Non-Executive - Non Independent Director	Not Applicable		20-11-1986	NA		21-02-2018	21-02-2018			1	0	0	0
12	Ms	Shruti Aggarwal	APZPA8483R	06886453	Non-Executive - Non Independent Director	Not Applicable		20-04-1991	NA		16-06-2017	16-06-2017			1	0	0	0

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00061925	Hari Das Khunteta	Non-Executive - Independent Director	Chairperson	30-01-2013		
2	00271748	Naveen ND Gupta	Non-Executive - Independent Director	Member	31-01-2018		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	30-05-2003		
4	01450916	Roop Chand Jindal	Non-Executive - Independent Director	Member	06-02-2012		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00764576	Chandra Wadhwa	Non-Executive - Independent Director	Chairperson	30-01-2013		
2	00271748	Naveen ND Gupta	Non-Executive - Independent Director	Member	31-01-2018		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	30-01-2013		
4	01450916	Roop Chand Jindal	Non-Executive - Independent Director	Member	25-05-2007		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Chairperson	28-04-2003		
2	00061925	Hari Das Khunteta	Non-Executive - Independent Director	Member	30-01-2013		
3	01450916	Roop Chand Jindal	Non-Executive - Independent Director	Member	25-05-2007		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00003294	Anurag Bansal	Executive Director	Chairperson	07-06-2021		
2	00003166	Ajay Garg	Executive Director	Member	07-06-2021		
3	03187614	Himanshu Gupta	Executive Director	Member	07-06-2021		
4	00764576	Chandra Wadhwa	Non-Executive - Independent Director	Member	07-06-2021		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00003267	Subhash Chand Aggarwal	Executive Director	Chairperson	26-05-2014		
2	00003082	Mahesh Chand Gupta	Executive Director	Member	26-05-2014		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	26-05-2014		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1		31-01-2022			Yes	12	6

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory							Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)		
1	Audit Committee	31-01-2022				Yes	4	4
2	Nomination and remuneration committee	31-01-2022				Yes	4	4
3	Stakeholders Relationship Committee	31-01-2022				Yes	3	3
4	Corporate Social Responsibility Committee	31-01-2022				Yes	3	1
5	Risk Management Committee	12-03-2022				Yes	4	1

Annexure 1**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	SUMAN KUMAR
2	Designation	Company Secretary and Compliance Officer

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		https://smcindiaonline.com/
2	Terms and conditions of appointment of independent directors	Yes		https://smcindiaonline.com/investors/
3	Composition of various committees of board of directors	Yes		https://smcindiaonline.com/investors/
4	Code of conduct of board of directors and senior management personnel	Yes		https://smcindiaonline.com/investors/
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://smcindiaonline.com/investors/
6	Criteria of making payments to non-executive directors	Yes		https://smcindiaonline.com/investors/
7	Policy on dealing with related party transactions	Yes		https://smcindiaonline.com/investors/
8	Policy for determining 'material' subsidiaries	Yes		https://smcindiaonline.com/investors/
9	Details of familiarization programmes imparted to independent directors	Yes		https://smcindiaonline.com/investors/

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://smcindiaonline.com/investors/
11	email address for grievance redressal and other relevant details	Yes		https://smcindiaonline.com/investors/
12	Financial results	Yes		https://smcindiaonline.com/investors/
13	Shareholding pattern	Yes		https://smcindiaonline.com/investors/
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		https://smcindiaonline.com/investors/
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		https://smcindiaonline.com/investors/
18	Credit rating or revision in credit rating obtained	Yes		https://smcindiaonline.com/investors/
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		https://smcindiaonline.com/investors/
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		https://smcindiaonline.com/investors/
21	Materiality Policy as per Regulation 30	Yes		https://smcindiaonline.com/investors/
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		https://smcindiaonline.com/investors/
23	It is certified that these contents on the website of the listed entity are correct	Yes		https://smcindiaonline.com/investors/

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5), (6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	NA	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	SUMAN KUMAR
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II		
1	Name of signatory	SUMAN KUMAR
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure		
Applicability of disclosure	Not Applicable	
Reason for Non Applicability	Textual Information(1)	

Signatory Details	
Name of signatory	SUMAN KUMAR
Designation of person	Company Secretary and Compliance Officer
Place	NEW DELHI
Date	31-03-2022

