

<b>General information about company</b>	
Scrip code	543263
NSE Symbol	SMCGLOBAL
MSEI Symbol	
ISIN	INE103C01036
Name of the entity	SMC GLOBAL SECURITIES LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Quarterly
Date of Report	30-06-2021
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
Mr	Subhash Chand Aggarwal	AAJPA9387K	00003267	Executive Director	Chairperson	MD	02-07-1956	NA		19-12-1994	29-01-2020			1	0	0	0			
Mr	Mahesh Chand Gupta	AAAPG3736D	00003082	Executive Director	Not Applicable	MD	30-01-1957	NA		01-09-2007	01-09-2017			1	0	1	0			
Mr	Ajay Garg	ABXPA2346P	00003166	Executive Director	Not Applicable	CEO	31-12-1973	NA		28-03-2009	14-05-2018			1	0	1	0			
Mr	Anurag Bansal	AAAPB5180A	00003294	Executive Director	Not Applicable		21-04-1975	NA		28-03-2009	28-03-2019			1	0	0	0			

I. Composition of Board of Directors																		
Disclosure of notes on composition of board of directors explanatory																		
Wether the listed entity has a Regular Chairperson																		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of posts of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
5	Mr	Chandra Wadhwa	AAAPW5444L	00764576	Non-Executive - Independent Director	Not Applicable		06-06-1958	Yes	21-07-2018	03-09-2012	01-04-2019		105	1	1	1	1
6	Mr	Naveen ND Gupta	AEQPG6833D	00271748	Non-Executive - Independent Director	Not Applicable		08-11-1972	NA		31-01-2018	31-01-2018		41	1	1	4	0
7	Mr	Roop Chand Jindal	AAHPJ3587C	01450916	Non-Executive - Independent Director	Not Applicable		05-01-1948	Yes	21-07-2018	25-05-2007	01-04-2019		169	1	1	2	0
8	Mr	Hari Das Khunteta	AAHPK9670R	00061925	Non-Executive - Independent Director	Not Applicable		20-07-1952	Yes	21-07-2018	12-10-2012	01-04-2019		104	1	1	2	1

I. Composition of Board of Directors																		
Disclosure of notes on composition of board of directors explanatory																		
Wether the listed entity has a Regular Chairperson																		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of Chairperson in Audit Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
9	Mr	Kundan Mal Agarwal	AAKPA5644C	00043115	Non-Executive - Independent Director	Not Applicable		05-03-1949	Yes	28-09-2019	10-01-1995	01-04-2019		317	1	1	3	2
10	Mrs	Madhu Vij	AADPV0701A	00025006	Non-Executive - Independent Director	Not Applicable		16-07-1955	Yes	21-07-2018	22-09-2014	01-04-2019		81	2	2	1	0
11	Mr	Himanshu Gupta	AIEPG2872J	03187614	Non-Executive - Non Independent Director	Not Applicable		20-11-1986	NA		21-02-2018	21-02-2018			1	0	0	0
12	Mrs	Shruti Aggarwal	APZPA8483R	06886453	Non-Executive - Non Independent Director	Not Applicable		20-04-1991	NA		16-06-2017	16-06-2017			1	0	0	0

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00061925	Hari Das Khunteta	Non-Executive - Independent Director	Chairperson	30-01-2013		
2	00271748	Naveen ND Gupta	Non-Executive - Independent Director	Member	31-01-2018		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	30-05-2003		
4	01450916	Roop Chand Jindal	Non-Executive - Independent Director	Member	06-02-2012		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00764576	Chandra Wadhwa	Non-Executive - Independent Director	Chairperson	30-01-2013		
2	00271748	Naveen ND Gupta	Non-Executive - Independent Director	Member	31-01-2018		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	30-01-2013		
4	01450916	Roop Chand Jindal	Non-Executive - Independent Director	Member	25-05-2007		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01450916	Roop Chand Jindal	Non-Executive - Independent Director	Member	25-05-2007		
2	00061925	Hari Das Khunteta	Non-Executive - Independent Director	Member	30-01-2013		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Chairperson	28-04-2003		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00003166	Ajay Garg	Executive Director	Member	07-06-2021		
2	00003294	Anurag Bansal	Executive Director	Member	07-06-2021		
3	03187614	Himanshu Gupta	Non-Executive - Non Independent Director	Member	07-06-2021		
4	00764576	Chandra Wadhwa	Non-Executive - Independent Director	Member	07-06-2021		



Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00003267	Subhash Chand Aggarwal	Executive Director	Chairperson	26-05-2014		
2	00003082	Mahesh Chand Gupta	Executive Director	Member	26-05-2014		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	26-05-2014		

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	10-02-2021				Yes		
2		07-06-2021	116		Yes	12	6

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	10-02-2021				Yes		
2	Audit Committee	07-06-2021	116			Yes	4	4
3	Nomination and remuneration committee	10-02-2021				Yes		
4	Nomination and remuneration committee	07-06-2021	116			Yes	4	4
5	Stakeholders Relationship Committee	10-02-2021				Yes		
6	Stakeholders Relationship Committee	07-06-2021	116			Yes	3	3

Annexure 1								
IV. Meeting of Committees								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
7	Corporate Social Responsibility Committee	10-02-2021				Yes		
8	Corporate Social Responsibility Committee	07-06-2021	116			Yes	3	1

<b>Annexure 1</b>			
<b>V. Related Party Transactions</b>			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

<b>Annexure 1</b>		
Sr	Subject	Compliance status
1	Name of signatory	Suman Kumar
2	Designation	Company Secretary and Compliance Officer



<b>Signatory Details</b>	
Name of signatory	Suman Kumar
Designation of person	Company Secretary and Compliance Officer
Place	New Delhi
Date	06-07-2021

