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## SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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Date: 08<sup>th</sup> June, 2021

To,

<b>Listing Operations</b> BSE Limited, P J Towers, Dalal Street, Mumbai -400001, India  Scrip Code: 543263	<b>Listing Department</b> National Stock Exchange of India Limited Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra  (E) Mumbai – 400051  Symbol: SMCGLOBAL	<b>Listing Department,</b> Calcutta Stock Exchange Limited 7, Lyons Range, Dalhousie Kolkata – 700001, West Bengal  Scrip Code: 029186
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### Sub.- Appointment of Compliance officer under Regulation 9(3) of the SEBI (Prohibition of Insider Trading) Regulations, 2015

Pursuant to the provisions of Para C of Part A of schedule III read with regulation 30 of SEBI (listing Obligations & Disclosures Requirements) Regulations 2015, this is hereby informed that Ms. Divya Gupta member of Institute of Company Secretaries of India (Membership No. A65351) has been appointed as Compliance Officer for the purpose of regulation 9(3) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and shall be responsible to administer the code of conduct and other requirements under SEBI (Prohibition of Insider Trading) Regulations, 2015, under the overall supervision of the board of directors of the company.

Further, details of Compliance Officer been appointed under SEBI (Prohibition of Insider Trading) Regulations, 2015, is given below:-

Phone: - 011-30111333

Email: - csdivya@smcindiaonline.com

This is for your information and record.

**Thanking you,  
For SMC Global Securities Limited**

**(Suman Kumar)**  
**E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer**  
**(Membership No. F5824)**

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