



Moneywise. Be wise.

SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849

Regd. Office : 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 18-04-2024

Listing Operations BSE Limited, P J Towers, Dalal Street, Mumbai-400001, India	Listing Department National Stock Exchange of India Limited, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400051
Scrip Code: 543263	Symbol: SMCGLOBAL

Subject: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the Financial Year ended on 31st March, 2024.

Dear Sir/ Ma'am,

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 as amended from time to time, we are enclosing the certificate received from M/s SNK & Associates, Practising Company Secretaries for the Financial Year ended on 31st March, 2024.

Kindly take the same on record.

Thanking You,

For SMC Global Securities Limited

Suman Kumar
E.V.P. (Corporate Affairs & Legal),
Company Secretary & General Counsel
Membership No. F5824

Enclosed:

Annexure 1 Certificate received from M/s SNK & Associates, Practising Company Secretaries pursuant to regulation 40(9) of SEBI (LODR), 2015.

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : smcmumbai@smcindiaonline.com

KOLKATA OFFICE : 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004
E-mail : smckolkata@smcindiaonline.com





SNK & ASSOCIATES

COMPANY SECRETARIES

38-B, 2nd Floor, Yusuf Sarai Village,
Green Park, New Delhi - 110016

Phone : 011-45040759, Mobile : 9911019008

E-mail : cssandeepkumarroy@gmail.com, csskroy.snk@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2024

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to SMC Global Securities Limited, CIN:L74899DL1994PLC063609 having its registered office at 11/6B, Shanti Chambers, Pusa Road, New Delhi-110005 maintained by M/s Link Intime India Private Limited ("Registrar and Share Transfer Agent"), pertaining to transfer of equity shares of the Company for the period from 01st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/Share Transfer Agent, we hereby certify that the Company has given effect to all transfers pertaining to the financial year and hereby confirm for year ended on 31st March, 2024:-

1. There was no request has been received pertaining to physical transfer of shares during the financial year 2023-2024.
2. All the request pertaining to transmission of shares received during the financial year 2023-24 has been processed within the stipulated time period.
3. One request pertaining to split of shares has been received during the financial year 2023-24 and same was processed within stipulated time period.
4. During the financial year 2023-24, request was received for issue of 12 (Twelve) nos. of duplicate share certificates and the certificates has been issued within the stipulated time period, out of above 12 (Twelve) certificates, 10 (Ten) certificates related to IEPF. Further, there were no cases of sub-division, consolidation, renewal or exchange during the period.

For SNK & Associates

(Peer Review No. 3039/2023)


Sandeep Kumar
Company Secretary

COP No. 11097

UDIN: F011256F000136251



Place: New Delhi

Date: 16.04.2024