

Date: 05th May, 2022

To,
SMC Global Securities Limited
11/6B, Shanti Chambers, Pusa Road,
New Delhi- 110005

Subject: Notice under Section 160 of the Companies Act, 2013 proposing candidature of Mr. Naveen ND Gupta for re-appointment as an Independent Director on the Board of Directors of the Company

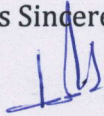
Dear Sir/ Ma'am,

I, **Himanshu Gupta**, Member of the Company, holding 20,00,000 shares under DPID/Client ID 1201910100177770, do hereby give notice under Section 160 of the Companies Act, 2013 signifying my intention to propose the candidature of **Mr. Naveen ND Gupta** (having DIN: 00271748) residing at B-4, Block B, Gulmohar Park, Delhi-110049 for re-appointment as an Independent Director on the Board of Directors of the Company at the 28th Annual General Meeting of the Company, not liable to retire by rotation under the provisions of Section 149 and other applicable provisions of the Companies Act, 2013 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Consent of the candidate to act as an Independent Director and is enclosed herewith for your perusal.

Thanking You,

Yours Sincerely,



Himanshu Gupta

To,
The Board of Directors
SMC Global Securities Limited
11/6B, Shanti Chambers, Pusa Road
New Delhi- 110005

Dear Sir(s):

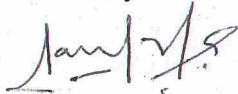
Sub: Consent to act as Independent Director of SMC Global Securities Limited for a Second Term of 5 years i.e. from 31st January, 2023 TO 30th January, 2028.

Pursuant to the provisions of section 149 of the Companies Act, 2013 read with allied Rules and the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby declare and confirm that I am eligible to be appointed as an Independent Director of the Company and have not incurred any disqualification under section 164(2) of the Companies Act, 2013 and accordingly extend my consent to act as an Independent Director of SMC Global Securities Limited, subject to approval of the Board of Directors and Shareholders and such other authorities as may be required for a second term of 5 years i.e. from 31st January, 2023 to 30th January, 2028.

In this regard, relevant details required for reappointment are annexed as **Annexure A.**

Thanking You,

Yours Faithfully



**Mr. Naveen ND Gupta
Independent Director**

Annexure A

1	A brief resume of the director	Attached
2	Nature of expertise in specific functional areas	Corporate Governance
3	Disclsoure of relationships between directors inter se	No
4	Names of listed companies in which the person also holds directorship and the membership of committees and board along with listed entities from which the person has resigned in past 3 years	No
5	Shareholding of the director in the Company	No

Brief Profile of CA. Naveen ND Gupta,

A man of professional wisdom, vision and strong organisational skills with a firm belief in inclusive growth of Indian Economy, **CA. Naveen ND Gupta is the Past President of The Institute of Chartered Accountants of India (ICAI)** representing more than 3 lacs Chartered Accountants and 7.50 lacs students which makes it largest Accountancy Education Body in the world. Presently, he is **Chairman of Shaheed Sukhdev College of Business Studies**, Delhi University. He is also Director on the Board of Tata Power Delhi Distribution Limited, BSES Rajdhani Power Limited and BSES Yamuna Power Limited.

A seasoned professional of around 25 years of standing, CA. Naveen ND Gupta was Category A member on the Board of International Federation of Accountants, New York, USA a federation of accounting regulators of 176 countries. He was Board Member of South Asian Federation of Accountants (2018-19) (Apex body of SAARC) and Confederation of Asian and Pacific Accountants, Manila (2018-19). He is board member of Insurance Regulatory Development Authority (2018-19). He is Chairman of Corporate Affairs Committee of PHD Chamber of Commerce and Industry.

He was member of important committees constituted by the Government Ministries/ Regulators such as Government Accounting Standards Advisory Board (GASAB) and Audit Advisory Board both constituted by Comptroller & Auditor General of India, National Advisory Committee on Accounting Standards (NACAS), National Advisory Committee on Valuation Standards of Ministry of Corporate Affairs, Insolvency Legal Committee, Government of India and SEBI's Primary Market Advisory Committee. Member, Committee for Preparation of Manual for the guidance of Official Liquidators constituted by the Ministry of Corporate Affairs, Government of India. (2014-15). Member of Delhi Value Added Tax Advisory Committee, Ministry of Finance, Government of Delhi. Public Interest Director of Delhi Stock Exchange Ltd (Nominated by Securities & Exchange Board of India; Chairman, Listing Committee and Audit Committee of the Delhi Stock Exchange Ltd (2009-2012)

He was Chairman of Board of Directors of ICAI- Accounting Research Foundation) and XBRL India, which is instrumental in driving governmental accounting reforms in various bodies such as Indian Railways, MCD and KMC. He was also Director, Indian Institute of Insolvency Professionals of ICAI, which is the first Indian IPA.

He is Regular faculty in officer's training programmes organised by the office of Comptroller & Auditor General of India, Central Board of Direct Taxes etc. And, regular speaker and paper writer/contributor in several conferences, Continuing Professional Education Seminars and budget talks at national and international forums;