



# SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Moneywise. Be wise.

Date: September 28, 2022

To,

|   |   |
|---|---|
| <b>Listing Operations</b><br>BSE Limited,<br>P J Towers, Dalal Street,<br>Mumbai -400001, India | <b>Listing Department</b><br>National Stock Exchange of India Limited<br>Exchange Plaza, C-1, Block G,<br>Bandra Kurla Complex,<br>Bandra (E) Mumbai – 400051 |
| <b>Scrip Code: 543263</b>   | <b>Symbol: SMCGLOBAL</b>  |

**Sub.: Disclosure under Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015.**

Dear Sir(s),

With reference to the above, please find the enclosed disclosure in terms of Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 for pledge of 16,50,000 shares of SMC Global Securities Limited by Pulin Investment Private Limited, Member of Promoter Group.

Kindly take the above on record.

Thanking You,

Yours faithfully,

**For SMC GLOBAL SECURITIES LIMITED**

**SUMAN  
KUMAR**

Digitally signed by

SUMAN KUMAR

Date: 2022.09.28

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**Suman Kumar**

**E.V.P. (Corporate Affairs) & Company Secretary**

**M.No. F5824**



**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7 (2) read with Regulation 6(2) - Continual disclosure]**

Name of the company: SMC GLOBAL SECURITIES LIMITED  
ISIN of the company: INE103C01036

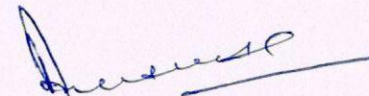
Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

| Name, PAN, CIN/DIN, & address with contact nos.   | Category of Person (Promoter/member of the promoter group/designated person/Directors/immediate relative to/others etc.) | Securities held prior to acquisition/disposal  |                           | Securities acquired/Disposed  |           |               |   | Securities held post acquisition/disposal  |                           | Date of allotment advice/ acquisition of shares/ disposal of shares specify |                                  | Date of intimation to company    | Mode of acquisition / disposal (on market/public/ rights/ preferential offer / off market/ Inter-se transfer, ESOPs etc.) | Exchange on which trade was executed |
|---|--|--|---------------------------|---|-----------|---------------|---|--|---------------------------|---|----------------------------------|----------------------------------|---|--------------------------------------|
|   |  | Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement etc.) | No. and % of shareholding | Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.) | No.       | Value         | Transaction Type (Purchase /sale / Pledge / Revocation / Invocation / Others- please specify) | Type of security (For eg. - Shares, Warrants, Convertible Debentures Rights entitlement, etc.) | No. and % of shareholding | From  | To                               |                                  |   |                                      |
| <b>Pulin Investments Private Limited,</b><br>AADCP2350G,<br>U74899DL1995PTC<br>074891,<br><b>ADDRESS:</b><br>9B, ,Netaji Subhash Marg, Darya Ganj, New Delhi 110002 | Promoter Group   | Equity Shares  | 92,77,205 (8.86%)         | Equity Shares   | 16,50,000 | 13,29,07,500* | Pledge  | Equity Shares  | 92,77,205 (8.86%)         | 01 <sup>st</sup> July. 2022   | 22 <sup>nd</sup> September, 2022 | 26 <sup>th</sup> September, 2022 | Pledge of Equity Shares   | NA                                   |

- Value is calculated on the basis of closing market price of NSE (National Stock Exchange of India Limited) @ Rs. 80.55/- per share as on 22<sup>nd</sup> September, 2022 (Thursday).

**Note:** "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

**SUMAN KUMAR**  
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Date: 2022.09.28 17:57:25 +05'30'






**Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2)**

| Trading in derivatives (Specify type of contract, Futures or Options etc) |                         |                |  |                | Exchange on which the trade was executed |
|---|-------------------------|----------------|--|----------------|--|
| Type of contract  | Contract specifications | Buy            |  | Sell           |  |
|   |                         | Notional Value | Number of units (contracts * lot size) | Notional Value | Number of units (contracts * lot size)   |
| NA  |                         |                |  |                |  |

*Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.*

**For and on behalf of Pulin Investments Private Limited**

  
**Signature:**  
**Name: Anurag Bansal**  
**Designation: Director**

**Date: 26.09.2022**  
**Place: Mumbai**

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**KUMAR** Date:  
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