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SMC GLOBAL SECURITIES LTD.

Member : National Stock Exchange (NSE)
SEBI Regn. No. : INB 230771431 INF 230771431 INE 230771431
Member : Bombay Stock Exchange Ltd. (BSE)
SEBI Regn. No. : INB 011343937 INF 011343937
Member : Metropolitan Stock Exchange of India Ltd.
SEBI Regn. No. : INB 260771432 INF 260771432 INE 260771431
CM & TM : Cash, Derivatives & Currency Segment

REGD. OFFICE :

11/6B, Shanti Chamber, Pusa Road, New Delhi-110005
Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365
E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com
CIN No. : L74899DL1994PLC063609

Date: 19th October, 2017

To
The Manager
Listing Department,

Calcutta Stock Exchange Limited.
(CSE)
7, Lyons Range
Kolkata - 700001

Ahmedabad Stock Exchange Limited. (ASE)
Kamdhenu Complex, Opp. Sahajanand College,
Panjrapole Ahmedabad, Gujarat - 380015

Ref: Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Subject: Submission of Statement of Shareholding Pattern for the Second Quarter ended on 30th September, 2017.

Dear Sir(s),

This is with reference to the captioned subject matter. In this regard we are enclosing herewith the Statement of Shareholding Pattern pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the **Second Quarter ended on 30th September, 2017.**

This is for your information and record.

Thanking you,

For SMC Global Securities Limited

Suman Kumar
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)

Enclosed: Annexure I- Shareholding Pattern as on 30.09.2017

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : smcmumbai@smcindiaonline.com

KOLKATA OFFICE : 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-39847000 Fax : +91-33-39847004
E-mail : smckolkata@smcindiaonline.com



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: SMC Global Securities Limited				
2.	Scrip Code/Name of Scrip/Class of Security:				
3.	Share Holding Pattern filed under: Reg: 31(1)(a)/Reg: 31(1)(b)/Reg:31(1)(c) <table border="1" style="margin-left: 20px;"> <tr> <td>a.</td> <td>If under 31(1)(b) then indicate the report for Quarter ending</td> </tr> <tr> <td>b.</td> <td>If under 31(1)(c) then indicate date of allotment/extinguishment</td> </tr> </table>	a.	If under 31(1)(b) then indicate the report for Quarter ending	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
a.	If under 31(1)(b) then indicate the report for Quarter ending				
b.	If under 31(1)(c) then indicate date of allotment/extinguishment				
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-				

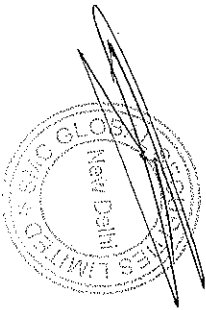
Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledged or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :03/10/2017

NSDL : 30/09/2017

CDSL :30/09/2017



S/MC Global Securities Limited

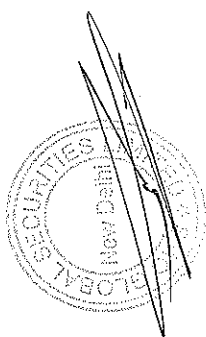
Table 1 - Summary Statement holding of specified securities

Category	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii) = (vii) x (viii) = 100,000	(ix) = (viii) / (ix) = 100,000	Number of Voting Rights held in each class		(x) = (ix) x (x) = 100,000	(xi) = (x) / (xi) = 100,000	(xii)	(xiii)	(xiv)
										Class eg: X	Class eg: Y Total					
(A) Promoter & Promoter Group	14	6632340	0	0	0	6632340	58.6235	6632340	58.6235	0	0	0	0.0000	0	0	6632340
(B) Public	1321	4681110	0	0	0	4681110	41.3765	4681110	41.3765	0	0	0	0.0000	0	0	34098890
(C) Non Promoter - Non Public	0	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0.0000	0	0	0
(C1) Shares Underlying DBS	0	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0.0000	0	0	0
(C2) Shares Held By Employee Trust	0	0	0	0	0	0	0.0000	0	0.0000	0	0	0	0.0000	0	0	0
Total	1335	113134450	0	0	0	113134450	100.0000	113134450	100.0000	0	0	0	0.0000	0	0	100422230

GENERATED ON 03/10/2017

MSDL : 30/09/2017

CPSL:30/09/2017



SMC Global Securities Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

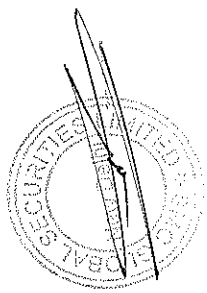
Category & Name of the shareholders	PAN	Nos. of shares held (i)	No. of fully paid up equity shares held (ii)	Partly paid-up equity shares held (iii)	No. of Depositor Shares held (iv)	Total nos. shares held (v) = (ii)+(iii)+(iv)	Shareholding % as per SCRR, 1957 As a % of (vi)+(vii)	No of Voting Rights Class eg: X Class eg: Y Total (viii)	Total as a % of securities (ix) = (viii)/(vi)	Shares Outstanding (x)	Ing. as a % assuming convertible securities (xi) = (x)/(vi)	Number of locked in Shares held (xii)	Number of Shares pledged or otherwise encumbered (xiii)	As a % of total Shares held (xiv) = (xiii)/(vi)	Number of Shares held in demat form (xv)
Indian		10	40718670	0	0	40718670	35.9914	40718670	35.9914	0	35.9914	0	0.0000	0	40718670
Individuals / Hindu Undivided Family	AAAG93736D	1	10248500	0	0	10248500	9.0587	10248500	9.0587	0	9.0587	0	0.0000	0	10248500
Mahesh C Gupta	AAAP93837C	1	10095500	0	0	10095500	8.9255	10095500	8.9255	0	8.9255	0	0.0000	0	10095500
Subhash Chand Agarwal	ADDP93940D	1	7720550	0	0	7720550	6.8242	7720550	6.8242	0	6.8242	0	0.0000	0	7720550
Hemisha Agarwal	AAFG93437D	1	7566550	0	0	7566550	6.6881	7566550	6.6881	0	6.6881	0	0.0000	0	7566550
Sudhima Gupta	AAFG93437D	1	2200000	0	0	2200000	1.9446	2200000	1.9446	0	1.9446	0	0.0000	0	2200000
Gini Devi	AKFD05847G	1	984000	0	0	984000	0.8698	984000	0.8698	0	0.8698	0	0.0000	0	984000
Madan Gopal Agarwal	ASFA78272P	1	841600	0	0	841600	0.7439	841600	0.7439	0	0.7439	0	0.0000	0	841600
Ajay Garg	ABY9A2346P	1	781970	0	0	781970	0.6912	781970	0.6912	0	0.6912	0	0.0000	0	781970
Damodar Krishan Agarwal	AAAP93940D	1	250000	0	0	250000	0.2210	250000	0.2210	0	0.2210	0	0.0000	0	250000
Aarug. Bansal	AAAR93180A	1	30000	0	0	30000	0.0265	30000	0.0265	0	0.0265	0	0.0000	0	30000
Archana Agarwal		1	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Central Government / State Government(s)		4	25604670	0	0	25604670	22.6321	25604670	22.6321	0	22.6321	0	0.0000	0	25604670
Financial Institutions / Banks		4	25604670	0	0	25604670	22.6321	25604670	22.6321	0	22.6321	0	0.0000	0	25604670
Any Other (Specify)		4	25604670	0	0	25604670	22.6321	25604670	22.6321	0	22.6321	0	0.0000	0	25604670
Bodies Corporate		4	18667140	0	0	18667140	16.5000	18667140	16.5000	0	16.5000	0	0.0000	0	18667140
Asm Pipes Private Limited	AAAC93940C	1	1561670	0	0	1561670	1.4290	1561670	1.4290	0	1.4290	0	0.0000	0	1561670
Prati Investments Pvt. Ltd.	AAAC93940C	1	1561670	0	0	1561670	1.4290	1561670	1.4290	0	1.4290	0	0.0000	0	1561670
Jai Ambery Share Broking Ltd.	AAAC93940C	1	225000	0	0	225000	0.1989	225000	0.1989	0	0.1989	0	0.0000	0	225000
Smc Share Brokers Ltd.	AAAC93940C	1	66323340	0	0	66323340	58.6235	66323340	58.6235	0	58.6235	0	0.0000	0	66323340
Sub Total (A)(1)		14	66323340	0	0	66323340	58.6235	66323340	58.6235	0	58.6235	0	0.0000	0	66323340
Foreign		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Government		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Institutions		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Any Other (Specify)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0
Total Shareholding of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		14	66323340	0	0	66323340	58.6235	66323340	58.6235	0	58.6235	0	0.0000	0	66323340

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note : (1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :03/10/2017 NSDL : 30/09/2017 CSCL :30/09/2017



SMC Global Securities Limited

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders	PAN	Nos of sharehold shares	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Depositor shares held	Total nos. of shares held (VII) = (IV) + (V) + (VI)	Shareholding % as per SCRR, 1957 As a % of (A+B+C) x (VII) As a % of (A+B+C)	Number of Voting Rights held in each class of securities		Total no. of Voting Rights held in each class of securities (IX) = (X) + (XI) + (XII) + (XIII) + (XIV)	Underlying % of convertible securities (including convertible securities)	Underlying % assuming conversion of convertible securities (No. (a) / (X))	Number of Locked in Shares	As a % of total Shares held (XII)	Number of pledged or otherwise encumbered Shares	As a % of total Shares held (XIII)	Number of dematerialised shares held in form
								Class sqe	Class sqe / Total								
1 Institutions																	
(a) Mutual Fund																	
(b) Venture Capital Funds																	
(c) Alternative Investment Funds																	
(d) Foreign Venture Capital Investors																	
(e) Foreign Portfolio Investor																	
(f) Financial Institutions / Banks																	
(g) Insurance Companies																	
(h) Provident Funds / Pension Funds																	
(i) Any Other (Specify)																	
Sub Total (B)(1)																	
2 Central government/ State Government(s)/ President of India																	
Sub Total (B)(2)																	
3 Non-Institutions																	
(a) Individuals																	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.																	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																	
(b) NBFCs registered with RBI																	
(c) Employee Trusts																	
(d) Overseas Depositor (Resident/Dual) Balancing figure)																	
(e) Any Other (Specify)																	
Hindi Undivided Family																	
Foreign Companies																	
Mallen International Investment And Acquisition Co Inc																	
Sambh International Investment Partners Limited																	
Millennium India Acquisition Company Inc																	
Sambh International Investment																	
Crestis Fund Ltd																	
Millennium Investment And Acquisition Co Inc																	
Non Resident Indians (Non-Repatriation)																	
Non Resident Indians (Repatriation)																	
Clearing Member																	
Booies Corporate																	
Signature Global (India) Pvc Limited																	
Bennett Coleman And Company Limited																	
Agrios Polymers Pvt.Ltd.																	
Sea Services Pvt.Ltd.																	
Sub Total (B)(3)																	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)																	
No. of Shareholders																	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/undelivered suspense account, voting rights which are frozen etc.

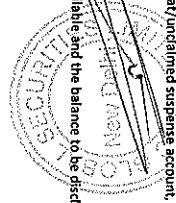
Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON : 30/09/2017 NSDL : 30/09/2017 CDSL : 30/09/2017



SMC Global Securities Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Sl. No.	Category & Name of the shareholders	PAN	No. of sharehold shares held	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Depository Receipts held	Total nos. of shares held (VII) = (I)+(II)+(III)+(IV)+(V)+(VI)	Shareholding % as per 56(8), 1957 As a % of (VIII) As a (a+b+c2) X	Number of Voting Rights held in each class		Total as a % of (a+b+c) (a+b+c)	Shares Underlying % Outstanding full convertible securities (including convertible securities) (X)	ing, as a % assuming full convertible securities (XI) = (X) / (XII) X	Number of locked in shares		Number of shares pledged or otherwise encumbered		Number of shares held in dematerial form (XIV)
									Class e.g. Y	Class e.g. Z				As a % of total shares held (XII)	As a % of total shares held (XIII)	As a % of total shares held (XIII)	As a % of total shares held (XIV)	
1	Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0
2	Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0

Note :
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
 GENERATED ON :03/10/2017 NSDL : 30/09/2017 CDSL :30/09/2017

