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## SMC GLOBAL SECURITIES LTD.

Member : National Stock Exchange (NSE Id - 07714)  
: Bombay Stock Exchange (BSE Id - 470)  
: Metropolitan Stock Exchange (MSE Id - 1002)

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438

Research Analyst No. : INH100001849

Investment Adviser No. : INA100012491

**REGD. OFFICE :**

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

CIN No. : L74899DL1994PLC063609

Date: 16<sup>th</sup> October, 2019

To  
The Manager  
Listing Department,  
Calcutta Stock Exchange Limited  
7, Lyons Range Kolkata - 700001

**Ref: Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Subject: Submission of Statement of Shareholding Pattern for the Second Quarter ended on 30<sup>th</sup> September, 2019.**

Dear Sir(s),

This is with reference to the captioned subject matter. In this regard we are enclosing herewith the Statement of Shareholding Pattern pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the Second Quarter ended on 30<sup>th</sup> September, 2019.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited

(Ajay Garg)  
Director & CEO



Enclosed: Annexure I-Shareholding pattern as on 30<sup>th</sup> September, 2019

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**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

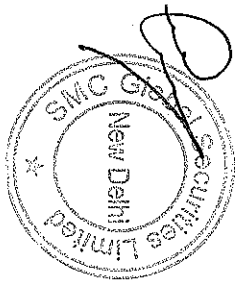
1.	Name of Listed Entity: <b>SMC Global Securities Limited</b>		
2.	Scrip Code/Name of Scrip/Class of Security:		
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
		Particulars	
			Yes* No*
1		Whether the Listed Entity has issued any partly paid up shares?	No
2		Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3		Whether the Listed Entity has any shares against which depository receipts are issued?	No
4		Whether the Listed Entity has any shares in locked-in?	No
5		Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>			
<p>GENERATED ON :03/10/2019      NSDL : 30/09/2019      CDSL :30/09/2019</p>			



SMC Global Securities Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of sharehold	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C)	Shares Underlying Outstanding convertible securities (including convertible securities)	Ing, as a % assuming conversion of convertible securities	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	As a % of total Shares held(b)	Number of equity shares held in dematerialise d form
								Class eg: X	Class eg: Y							
(A)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of total no. of shares (calculate as per SCRR, 1957)	(ix) Total	(x) Total	(xi) = (x)/(A+B+C)	(xii) = (xi) - (x)	(xiii) = (xii) / (A+B+C)	(xiv) = (xiii) / (A+B+C)	(xv) = (xiii) / (A+B+C)	(xvi) = (xiii) / (A+B+C)
(A)	Promoter & Promoter Group	15	69304685	0	0	69304685	61.2587	69304685	0	61.2587	0	61.2587	0	11313445	10.000000	69304685
(B)	Public	1442	43829765	0	0	43829765	38.7413	43829765	0	38.7413	0	38.7413	0	NA	NA	31278555
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	NA	NA	0
(C1)	Shares Underlying Dts	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	NA	NA	0
	Total	1457	113134450	0	0	113134450	100.0000	113134450	0	100.0000	0	100.0000	0	11313445	10.000000	100583240
GENERATED ON -03/10/2019			NSDL : 30/09/2019													



**SMC Global Securities Limited**

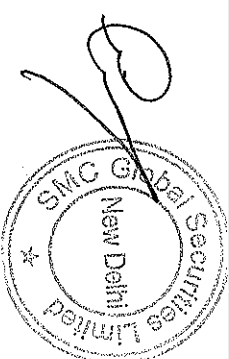
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	PAN	Nos. of shares held	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Depository shares	Total nos. shares held	Shareholding calculated as per SCRR, 1957 as a % of (A+B+C2) X	Number of Voting Rights held in each class		Total as a % of (A+B+C)	Shares outstanding (including convertible securities)	Int. % assuming convertible securities	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered	As a % of total Shares held (b)	Number of equity shares held in dematerialised form	
								Class eg: X	Class eg: Y Total				As a % of total Shares held (b)	No. (a)				
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	(xviii)	
1	Indian																	
(a)	Individuals / Hindu Undivided Family	AAAPG326D	40718670	0	0	40718670	35.9914	40718670	0	35.9914	0	0.0000	0.0000	5817585	14.2873	40718670	0	
	Manish Gupta	AAAPG326D	10248500	0	0	10248500	9.0587	10248500	0	9.0587	0	0.0000	0.0000	2908792	7.3826	10248500	0	
	Sushant Chand Aggarwal	AAAPG326D	10095500	0	0	10095500	8.9235	10095500	0	8.9235	0	0.0000	0.0000	2908793	7.8128	10095500	0	
	Sulima Gupta	AAAPG3437B	7566550	0	0	7566550	6.6881	7566550	0	6.6881	0	0.0000	0.0000	0	0.0000	7566550	0	
	Hemla Aggarwal	ADFP3940D	6920550	0	0	6920550	6.1171	6920550	0	6.1171	0	0.0000	0.0000	0	0.0000	6920550	0	
	Ginni Devi	ACPCD5847Q	2200000	0	0	2200000	1.9446	2200000	0	1.9446	0	0.0000	0.0000	0	0.0000	2200000	0	
	Kadon Gopal Aggarwal	ASFA78277	984000	0	0	984000	0.8698	984000	0	0.8698	0	0.0000	0.0000	0	0.0000	984000	0	
	Ajay Garz	ABXPA2346P	841600	0	0	841600	0.7439	841600	0	0.7439	0	0.0000	0.0000	0	0.0000	841600	0	
	Pranay Aggarwal	APVPA9951K	800000	0	0	800000	0.7071	800000	0	0.7071	0	0.0000	0.0000	0	0.0000	800000	0	
	Damodar Krishna Aggarwal	AAEP49441R	781970	0	0	781970	0.6912	781970	0	0.6912	0	0.0000	0.0000	0	0.0000	781970	0	
	Anurag Bansal	AAAPB5180A	250000	0	0	250000	0.2210	250000	0	0.2210	0	0.0000	0.0000	0	0.0000	250000	0	
	Archana Aggarwal		300000	0	0	300000	0.2650	300000	0	0.2650	0	0.0000	0.0000	0	0.0000	300000	0	
(b)	Central Government / State Government(s)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
(c)	Financial Institutions / Banks		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
(d)	Any Other (Specify)		28386015	0	0	28386015	25.2673	28386015	0	25.2673	0	0.0000	0.0000	5495860	15.2257	28386015	0	
	Bodler Corporate		18667140	0	0	18667140	16.5000	18667140	0	16.5000	0	0.0000	0.0000	5495860	15.2257	28386015	0	
	Asm Pipes Private Limited.	AAAGCA0304C	18667140	0	0	18667140	16.5000	18667140	0	16.5000	0	0.0000	0.0000	5495860	15.2257	28386015	0	
	Pullu Investments Pvt. Ltd.	AADCP2350G	8077205	0	0	8077205	7.1395	8077205	0	7.1395	0	0.0000	0.0000	68.0416	0.0185	8077205	0	
	Jai Ambe Share Broking Ltd.	AABCI1111H	1616670	0	0	1616670	1.4290	1616670	0	1.4290	0	0.0000	0.0000	0	0.0000	1616670	0	
	Smc Share Brokers Ltd.	AAAC50580Q	225000	0	0	225000	0.1989	225000	0	0.1989	0	0.0000	0.0000	0	0.0000	225000	0	
	Sub Total (A1)		69304685	0	0	69304685	61.2587	69304685	0	61.2587	0	0.0000	0.0000	11313445	16.3242	69304685	0	
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
(b)	Government		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
(c)	Institutions		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
(e)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
	Sub Total (A12)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0	0	
	Total Shareholding Of Promoter And Promoter Group (A1+A12)		69304685	0	0	69304685	61.2587	69304685	0	61.2587	0	0.0000	0.0000	11313445	16.3242	69304685	0	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note : (1) PAN would not be displayed on website of Stock Exchange(s)  
 (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :03/10/2019 NSDL :30/09/2019 CDSL :30/09/2019



**MC Global Securities Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders (i)	PAN (ii)	Nos. of shares held (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts held (vi)	Total nos. shares held (vii) =	Shareholding % as per calculated 1997 As a % of (A+B+C2) (viii) As a	Number of Voting Rights held in each class			Shares Underlying Outstanding convertible securities (including securities convertible assuming full conversion) (ix)	Ing. as a % of total shares (x) =	Number of Locked in Shares (a) (xi)	As a % of total Shares held (b) (xii)	Number of Shares pledged or otherwise encumbered (a) (xiii)	As a % of total Shares held (b) (xiv)	Number of equity shares held in dematerialised form (xv)
								No of Voting Rights	Class eg: X	Class eg: Y							
Institutions																	
Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Sub Total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Central Government/ State Government(s)																	
President of India																	
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Non-Institutions																	
Individuals		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		1325	3415952	0	0	3415952	3.0194	3415952	0	0	3415952	3.0194	0	0.0000	NA	NA	2551302
II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		15	1947770	0	0	1947770	1.7216	1947770	0	0	1947770	1.7216	0	0.0000	NA	NA	1807770
NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Overseas Depositories(holding Drs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	0
Any Other (Specify)		102	38466043	0	0	38466043	34.0003	38466043	0	0	38466043	34.0003	0	0.0000	NA	NA	26819483
Hindu Undivided Family		63	980180	0	0	980180	0.8664	980180	0	0	980180	0.8664	0	0.0000	NA	NA	980180
Foreign Companies		3	20865280	0	0	20865280	18.4429	20865280	0	0	20865280	18.4429	0	0.0000	NA	NA	9254720
Millennium Investment And Acquisition Co.lnc	AATCM3367C	1	10004690	0	0	10004690	8.8432	10004690	0	0	10004690	8.8432	0	0.0000	NA	NA	2071000
Millennium Investment And Acquisition Co.lnc	AAMC58714Q	1	9460590	0	0	9460590	8.3623	9460590	0	0	9460590	8.3623	0	0.0000	NA	NA	5783720
Samlam International Investment	AAMCC2634A	1	1400000	0	0	1400000	1.2375	1400000	0	0	1400000	1.2375	0	0.0000	NA	NA	1400000
Cresta Fund Ltd	AADCC2634A	1	350000	0	0	350000	0.3094	350000	0	0	350000	0.3094	0	0.0000	NA	NA	350000
Non Resident Indians (Repatriated)		1	16270583	0	0	16270583	14.3816	16270583	0	0	16270583	14.3816	0	0.0000	NA	NA	16234583
Bodies Corporate		35	8865885	0	0	8865885	7.8366	8865885	0	0	8865885	7.8366	0	0.0000	NA	NA	8865885
Signature Global (India) Pvt Limited	AACCH3807M	1	4335390	0	0	4335390	3.8321	4335390	0	0	4335390	3.8321	0	0.0000	NA	NA	4335390
Bennett, Coleman And Company Limited	AAACD48373Q	1	1573340	0	0	1573340	1.3907	1573340	0	0	1573340	1.3907	0	0.0000	NA	NA	1573340
Agrios Polymers Lip	ABGFA1194G	1	43829765	0	0	43829765	38.7413	43829765	0	0	43829765	38.7413	0	0.0000	NA	NA	31278555
Sub Total (B)(3)		1442	43829765	0	0	43829765	38.7413	43829765	0	0	43829765	38.7413	0	0.0000	NA	NA	31278555
Total Public Shareholding (B) =		1442	43829765	0	0	43829765	38.7413	43829765	0	0	43829765	38.7413	0	0.0000	NA	NA	31278555
(B)(1)-(B)(2)+(B)(3)																	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held, demand/unclaimed suspense account, voting rights which are frozen etc.



1) PAN would not be displayed on website of Stock Exchange(s).

2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

3) Wherever the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**MC Global Securities Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shares	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts held	Total nos. shares (A+B+C2)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class		Total as a % of (A+B+C)	No. of Outstanding Underlying convertible securities	Shareholding % assuming conversion of convertible securities	Number of Locked In Shares	As a % of total Shares held(b)	Number of Shares pledged or otherwise encumbered	As a % of total Shares held(b)	Number of equity shares held in dematerialized form
								Class eg: X	Class eg: Y Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (A+B+C2)	(viii) As a % of (A+B+C)	(ix)	(x)	(xi) =	(xii)	(xiii)	(xiv)	(xv)		
Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

(i) PAN would not be displayed on website of Stock Exchange(s).

(ii) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(iii) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :03/10/2019 NSDL : 30/09/2019 CDSL :30/09/2019

