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**SMC GLOBAL SECURITIES LTD.**

Member : National Stock Exchange (NSE Id - 07714)  
: Bombay Stock Exchange (BSE Id - 470)  
: Metropolitan Stock Exchange (MSE Id - 1002)  
Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity  
SEBI Regn. No. : INZ 000199438  
Research Analyst No. : INH100001849  
Investment Adviser No. : INA100012491  
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CIN No. : L74899DL1994PLC063609

Date: 15<sup>th</sup> January, 2020

To,  
The Manager  
Compliance Department,  
Calcutta Stock Exchange Limited. (CSE)  
7, Lyons Range, Kolkata- 700001

**Ref: Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Subject: Submission of Statement of Shareholding Pattern for the Third Quarter ended on 31<sup>st</sup> December, 2019.**

Dear Sir(s),

This is with reference to the captioned subject matter. In this regard we are enclosing herewith the Statement of Shareholding Pattern marked as **Annexure I**, pursuant to Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the Third Quarter ended on 31<sup>st</sup> December, 2019.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited

(Suman Kumar)  
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer  
(Membership No. F5824)

Enclosed: Annexure I- Shareholding Pattern as on 31.12.2019

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**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: SMC Global Securities Limited
2.	Scrp Code/Name of Scrip/Class of Security:
3.	Share Holding Pattern Filled under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1. Whether the Listed Entity has issued any partly paid up shares?		No
2. Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3. Whether the Listed Entity has any shares against which depository receipts are issued?		No
4. Whether the Listed Entity has any shares in locked-in?		No
5. Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :14/01/2020

NSDL : 31/12/2019

CDSL : 31/12/2019

SWC Global Securities Limited

Table I - Summary Statement holding of specified securities

Category	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = Total nos. of shares held	(viii) As a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (xiv)
									No. of securities	Class eg: X	Class eg: Y Total		(ix)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	(xi) =	(xii)	
(A)	Promoter & Promoter Group	16	70204685	0	70204685	0	70204685	62.0542	70204685	0	70204685	62.0542	0	0.0000	11313445	16.114900	70204685
(B)	Public	1445	42929765	0	42929765	0	42929765	37.9458	42929765	0	42929765	37.9458	0	0.0000	NA	NA	30394555
(C)	Non Promoter - Non Public														NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
	Total	1461	113134450	0	113134450	0	113134450	100.0000	113134450	0	113134450	100.0000	0	0.0000	11313445	10.000000	10059240

GENERATED ON :14/01/2020 NSDL : 31/12/2019 CDL : 31/12/2019

**SVC Global Securities Limited**  
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

1	Category & Name of the shareholders	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = Total nos. 1957 As a % of	Number of Voting Rights held in each class of securities		(ix) = Total	(x) = No. of Underlying securities (including Warrants)	(xi) = Shareholding % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		(xiii) = As a % of total Shares held(b)	(xiv) = Number of equity shares held in dematerialized form	
									No. of fully paid up equity shares held	Partly paid-up equity shares held				No. of shares underlying Depository Receipts	Class eg: X (A+B+C)			No. (a)
(a)	Indian																	
	Individuals / Hindu Undivided Family																	
	Subhash Chand Aggarwal		AAJPA9387K	12	40718670	0	40718670	35.9914	0	40718670	35.9914	0	35.9914	0	0.0000	5817585	14.2873	40718670
	Mhesh C Gupta		AAAFG3736D	1	10095500	0	10095500	8.9235	0	10095500	8.9235	0	8.9235	0	0.0000	2908793	28.8128	10095500
	Sushma Gupta		AAAFG3437B	1	9248500	0	9248500	8.1748	0	9248500	8.1748	0	8.1748	0	0.0000	2908792	31.4515	9248500
	Hemlata Aggarwal		ADFP3940D	1	7566550	0	7566550	6.6881	0	7566550	6.6881	0	6.6881	0	0.0000	0	0.0000	7566550
	Pranav Aggarwal		APYA951K	1	2720550	0	2720550	2.4047	0	2720550	2.4047	0	2.4047	0	0.0000	0	0.0000	2720550
	Ginni Devi		AKCPD5847Q	1	2200000	0	2200000	1.9446	0	2200000	1.9446	0	1.9446	0	0.0000	0	0.0000	2200000
	Himanshu Gupta		AIEPG3287J	1	1000000	0	1000000	0.8839	0	1000000	0.8839	0	0.8839	0	0.0000	0	0.0000	1000000
	Madan Gopal Aggarwal		AISPA7827P	1	984000	0	984000	0.8698	0	984000	0.8698	0	0.8698	0	0.0000	0	0.0000	984000
	Alay Garg		ABXPA2346P	1	841600	0	841600	0.7439	0	841600	0.7439	0	0.7439	0	0.0000	0	0.0000	841600
	Damodar Krishan Aggarwal		AAEP9441R	1	781970	0	781970	0.6912	0	781970	0.6912	0	0.6912	0	0.0000	0	0.0000	781970
	Anurag Bansal		AAAPB5180A	1	250000	0	250000	0.2210	0	250000	0.2210	0	0.2210	0	0.0000	0	0.0000	250000
	Archana Aggarwal			1	30000	0	30000	0.0265	0	30000	0.0265	0	0.0265	0	0.0000	0	0.0000	30000
	Central Government / State Government(s)			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Financial Institutions / Banks			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Any Other (Specify)			4	29486015	0	29486015	26.0628	0	29486015	26.0628	0	26.0628	0	0.0000	5495860	18.6389	29486015
(d)	Bodlex Corporate			4	29486015	0	29486015	26.0628	0	29486015	26.0628	0	26.0628	0	0.0000	5495860	18.6389	29486015
	Aam Pipes Private Limited		AAGCA0304C	1	18667140	0	18667140	16.5000	0	18667140	16.5000	0	16.5000	0	0.0000	0	0.0000	18667140
	Pulin Investments Pvt. Ltd.		AADCP3350G	1	8977205	0	8977205	7.9350	0	8977205	7.9350	0	7.9350	0	0.0000	5495860	61.2202	8977205
	Jai Ambeey Share Broking Ltd.		AABCJ1111H	1	1616670	0	1616670	1.4290	0	1616670	1.4290	0	1.4290	0	0.0000	0	0.0000	1616670
	Snc Share Brokers Ltd.		AAAC50580Q	1	225000	0	225000	0.1989	0	225000	0.1989	0	0.1989	0	0.0000	0	0.0000	225000
	Sub Total (A)(1)			16	70204685	0	70204685	62.0542	0	70204685	62.0542	0	62.0542	0	0.0000	11313445	16.1149	70204685
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)			16	70204685	0	70204685	62.0542	0	70204685	62.0542	0	62.0542	0	0.0000	11313445	16.1149	70204685

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :  
 (1) PAN would not be displayed on website of Stock Exchange(s)  
 (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**SMC Global Securities Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholders	Nos. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Underlying Shares Outstanding (Including convertible securities)	Ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights Class eg: X	Total % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(ix)	(x)	(xi) =	(xii)	(xiii)	(xiv)	(xv)	(xvi)	
1															
(a) Institutions															
(b) Mutual Fund		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c) Venture Capital Funds		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d) Alternate Investment Funds		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e) Foreign Venture Capital Investors		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f) Foreign Portfolio Investor		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(g) Financial Institutions / Banks		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(h) Insurance Companies		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(i) Provident Funds / Pension Funds		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(j) Any Other (Specify)		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(k) Sub Total (B)(1)		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
2															
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
Sub Total (B)(2)		0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
3															
(a) Non-Institutions															
(i) Individuals															
(ii) Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		1328	3416952	0	0	3416952	3.0203	3416952	0	3.0203	0	0.0000	NA	2668302	
(iii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		15	1947770	0	0	1947770	1.7216	1947770	0	1.7216	0	0.0000	NA	1807770	
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(c) Trust Employee		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(d) Overseas Depositor(s)/holding DRs / (balancing figure)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(e) Any Other (Specify)		102	37565043	0	0	37565043	33.2039	37565043	0	33.2039	0	0.0000	NA	25918483	
(i) Hindu Undivided Family		63	980180	0	0	980180	0.8664	980180	0	0.8664	0	0.0000	NA	980180	
(ii) Foreign Companies		3	19965280	0	0	19965280	17.6474	19965280	0	17.6474	0	0.0000	NA	8354720	
(i) Sanlam International Investment	AAMCS8714Q	1	9460590	0	0	9460590	8.3623	9460590	0	8.3623	0	0.0000	NA	5783220	
(ii) Millennium Investment And Acquisition Co Inc	AAFCM3367C	1	9104690	0	0	9104690	8.0477	9104690	0	8.0477	0	0.0000	NA	1171000	
(iii) Cresta Fund Ltd	AAOC2634A	1	1400000	0	0	1400000	1.2375	1400000	0	1.2375	0	0.0000	NA	1400000	
(iv) Non Resident Indians (Repatriation)		1	350000	0	0	350000	0.3094	350000	0	0.3094	0	0.0000	NA	350000	
(v) Bodies Corporate		35	16269583	0	0	16269583	14.3808	16269583	0	14.3808	0	0.0000	NA	16233583	
(i) Signature Global (India) Pvt Limited	AACC83807M	1	8865885	0	0	8865885	7.8366	8865885	0	7.8366	0	0.0000	NA	8865885	
(ii) Bennett, Coleman And Company Limited	AAACB4373Q	1	4335390	0	0	4335390	3.8321	4335390	0	3.8321	0	0.0000	NA	4335390	
(iii) Agbros Polymers Lip	ABGFA1194G	1	1573340	0	0	1573340	1.3907	1573340	0	1.3907	0	0.0000	NA	1573340	
(b) Sub Total (B)(3)		1445	42929765	0	0	42929765	37.9458	42929765	0	37.9458	0	0.0000	NA	30394555	
(c) Total Public Shareholding (B) = (B)(1)+(2)+(B)(3)		1445	42929765	0	0	42929765	37.9458	42929765	0	37.9458	0	0.0000	NA	30394555	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):															
No. of Shares															

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



Note : (1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**SMC Global Securities Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (A+B+C)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share warrants) capital) (XI)=	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form (XIV)
								No of Voting Rights	Total as a % of (A+B+C)		No. (a)	As a % of total Shares held(b)		
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0.0000	0	0	NA	0
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0.0000	0	0	NA	0
2 Total Non-Promoter- Non Public Shareholding (C)=(C1)+(C)2		0	0	0	0	0	0.0000	0	0	0.0000	0	0	NA	0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :14/01/2020

NSDL : 31/12/2019

CDSL :31/12/2019