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SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

Regd. Office : 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 06th May, 2020

To,

The Manager
Listing Department,
Calcutta Stock Exchange Limited
7, Lyons Range, Dalhousie
Kolkata – 700001, West Bengal

Subject: Submission of the Reconciliation of Share Capital Audit Report Regulation 76 of SEBI (Depositories And Participants) Regulations, 2018 for the fourth quarter ended on 31st March, 2020.

Dear Sir,

This is with reference to the captioned subject matter. In this regard, we are enclosing herewith the Reconciliation of Share Capital Audit Report for the fourth quarter ended 31st March, 2020 in pursuant to the Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018.

This is for your kind information and record.

Thanking you,

For SMC Global Securities Limited.



Suman Kumar
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)

**Enclosed: Annexure I- Reconciliation of Share Capital Audit Report from PCS
Annexure II- Reconciliation Statement from RTA**

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SNK & Associates
COMPANY SECRETARIES

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Annexure I

Reconciliation of Share Capital Audit Report

Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulation, 2018

1.	For Quarter Ended	31 st March, 2020					
2.	ISIN:	INE 103C01036					
3.	Face Value:	Rs.2/- each Equity Shares					
4.	Name of the Company:	SMC GLOBAL SECURITIES LIMITED					
5.	Registered Office Address:	11/6B, Shanti Chamber, Pusa Road, New Delhi-110005					
6.	Correspondence Address	- do -					
7.	Telephone & Fax Nos.	Ph. 011-30111000, 40753333 & Fax. 91-011-25754365					
8.	Email address	smc@smcindiaonline.com					
9.	Name of the Stock Exchanges where the company's securities are listed : C.S.E						
		Number of shares		% of Total Issued Capital			
10.	Issued Capital	113134450		100			
11.	Listed Capital (Exchange-wise) (as per company records)	C.S.E - 113134450		100			
12.	Held in dematerialized form in CDSL	83799783		74.07			
13.	Held in dematerialized form in NSDL	16801457		14.85			
14.	Physical	12533210		11.08			
15.	Total No. of shares (12+13+14)	113134450		100			
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15) : N.A						
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below :						
	Particulars* **	No. of Shares	Applied/ Not Applied for Listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In Prin. Approval pending from SE (Specify Names)
	N.A	N.A	N.A	N.A	N.A	N.A	N.A
*** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)							
18.	Register of Members is updated (Yes/No). If Not, updated up to which date					Yes	



Handwritten signature/initials

19.	Reference of previous quarter with regards to excess dematerialized shares if any	N.A		
20.	Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?	N.A		
21.	Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:			
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
	Confirmed after 21 Days	Nil	N.A	N.A
	Pending for more than 21 days	Nil	N.A	N.A
22.	Name, Telephone & Fax No. of the Compliance Officer of the Co.	Mr. Suman Kumar Tel. 011-30111333 Fax. 011-23258027		
23.	Name, Address, Tel & Fax No., Reg. No. of the Auditor:	SNK & Associates Address: G-1, Ground Floor, South Extension Part-II, New Delhi-110049 Telephone No.: 011-41649625,26 Mobile No.: 9911019008 COP: 11097		
24.	Appointment of common agency for share registry work	Yes- LINK INTIME INDIA PVT. LTD. NOBLE HEIGHTS, 1ST FLOOR, PLOT NH 2 C-1 BLOCK LSE, NEAR SAVITRI MARKET JANAKPURI NEW DELHI- 110058		
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE).	N.A		

For SNK & Associates

(Sandeep Kumar)
Company Secretary
COP: 11097



Place: New Delhi
Date: 30.04.2020

UDIN: A030423B000192574

April 18, 2020

The Company Secretary,
SMC Global Securities Limited

Sir,

With reference to your letter and pursuant to SEBI Circular D & CC/FITTC/CIR-16/2002 dated December 31, 2002, we confirm as under as on March 31, 2020.

1. That in terms of para (1) 16801457 equity shares stand in NSDL A/c, 83799783 equity shares stand in CDSL A/c and balance 12533210 shares stand in physical mode.
2. (a) That no request was received for transfer of shares in physical form during the quarter ended on March 31, 2020 and nothing is pending in physical transfer as on the last date of quarter i.e. March 31, 2020. Last transfer number is TF119.
(b) That no request has received for issuance of duplicate share certificate, split, consolidation, sub-division, rematerialisation during the quarter ended on March 31, 2020.
(c) That all the requests received for dematerialisation have been considered and given effect in the register of members.
3. That in terms of para (2) of the said circular the register of members has been updated as on March 31, 2020.
4. That in terms of para (3) of the said circular all the received dematerialisation requests have been confirmed within 15 days from the date of receipt.

We request you to kindly take note of the above in your records subject to the note given below.

Note :Due to COVID 19 and the proactive steps taken by Govt of India in declaring a lockdown effective from March 24, 2020 and prior to this date the advisory/prohibitory orders issued by the Government of India and various State Governments effective from mid of March 2020. certain Demat / remat transactions inwards by our office from the period first week of March, 2020 which was to be processed and released within 15 days / 30 days as the case may be, has not been processed/released since our office was functional with bare minimum staff reporting to office from mid-March 2020 onwards. Further, both the Depositories have issued circulars that from March 23, 2020 to April 30, 2020 the processing of demat requests will be excluded for computing the existing timelines specified and further 15 days time period will be allowed to clear backlogs after April 30, 2020. Also, please note during this challenging times certain transaction processed might be pending for release; certain DRN generated by DPs electronically but physical documents might not have been despatched by the DP; or might have been despatched by the DP but not received by us/not inwards which has arisen due to the extraordinary circumstances as explained in detail

Thanking you.

Yours faithfully,
For Link Intime India Private Limited

Sd/-
(Bharat Bhushan)
Associate Vice President



April 18, 2020

The Company Secretary
M/s SMC Global Securities Limited

Dear Sir,

We hereby certify the following position as on 31/03/2020.

MODE	SHAREHOLDERS	SHAREHOLDING
PHYSICAL	385	12533210
NSDL	655	16801457
CDSL	462	83799783
TOTAL	1502	113134450

This is for your information and record.

Thanking you,

Yours faithfully
For Link Intime India Pvt Ltd.

Sd/-

Bharat Bhushan
Associate Vice President

