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SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

Regd. Office : 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Dated: 13th October, 2021

Listing Operations BSE Limited, P J Towers, Dalal Street, Mumbai -400001, India Scrip Code: 543263	Listing Department National Stock Exchange of India Limited, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Symbol: SMCGLOBAL	Listing Department, Calcutta Stock Exchange Limited, 7, Lyons Range, Dalhousie Kolkata - 700001, West Bengal Scrip Code: 029186
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Subject: Certificate under Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30th September, 2021

Please find enclosed herewith the reconciliation of share capital statement and report received from Link Intime India Pvt. Ltd, the Registrar and Share Transfer Agent of the Company and M/s SNK & Associates, Practising Company Secretaries, respectively in compliance with Regulation 76 of the SEBI (Depositories and Participants) Regulations, 2018 for the period from 1st July, 2021 to 30th September, 2021.

We request you to kindly take the same on record.

Thanking You,

For SMC Global Securities Limited



Suman Kumar
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)

Enclosed: Annexure 1: Reconciliation of Share Capital Audit Report by M/s SNK & Associates
Annexure 2: Segment wise capital reconciliation statement by Link Intime India Pvt. Ltd.

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KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004
 E-mail : smckolkata@smcindiaonline.com





SNK & Associates

COMPANY SECRETARIES

G- 1 Ground Floor, South Extension-II New Delhi-110049

Ph.: 011-41649625,41649626

Mob.: 9911019008

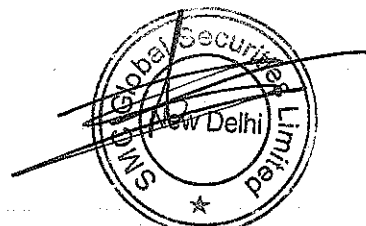
E-mail: cssandeepkumarroy@gmail.com
csskroy.snk@gmail.com

Annexure I

Reconciliation of Share Capital Audit Report

Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulation, 2018

1.	For Quarter Ended	30 th September, 2021					
2.	ISIN:	INE 103C01036					
3.	Face Value:	Rs.2/- each Equity Shares					
4.	Name of the Company:	SMC GLOBAL SECURITIES LIMITED					
5.	Registered Office Address:	11/6B, Shanti Chamber, Pusa Road, New Delhi-110005					
6.	Correspondence Address	- do -					
7.	Telephone & Fax Nos.	Ph. 011-30111000, 40753333 & Fax. 91-011-25754365					
8.	Email address	smc@smcindiaonline.com					
9.	Name of the Stock Exchanges where the company's securities are listed :	C.S.E, B.S.E and N.S.E					
		Number of shares		% of Total Issued Capital			
10.	Issued Capital	113134450		100			
11.	Listed Capital (Exchange-wise) (as per company records)	C.S.E - 113134450 B.S.E - 113134450 N.S.E - 113134450		100			
12.	Held in dematerialized form in CDSL	91745486		81.10			
13.	Held in dematerialized form in NSDL	20901324		18.47			
14.	Physical	487640		0.43			
15.	Total No. of shares (12+13+14)	113134450		100			
16.	Reasons for difference If any, between (10 & 11), (10 & 15), (11 & 15) :	N.A					
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below :						
	Particulars* **	No. of Shares	Applied/ Not Applied for Listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In Prin. Approval pending from SE (Specify Names)
	N.A	N.A	N.A	N.A	N.A	N.A	N.A
*** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)							



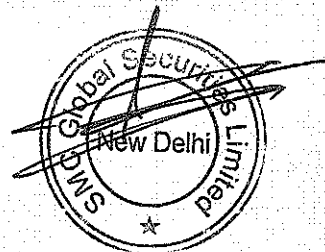
R/O C- 8/63, Hargovind Enclave, Rajpur khurd Ext., Chhattarpur, New Delhi-110068

18.	Register of Members is updated (Yes/No). If Not, updated up to which date	Yes		
19.	Reference of previous quarter with regards to excess dematerialized shares if any	N.A		
20.	Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?	N.A		
21.	Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:			
	Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
	Confirmed after 21 Days	Nil	N.A	N.A
	Pending for more than 21 days	Nil	N.A	N.A
22.	Name, Telephone & Fax No. of the Compliance Officer of the Co.	Mr. Suman Kumar Tel. 011-30111333 Fax. 011-23258027		
23.	Name, Address, Tel & Fax No., Reg. No. of the Auditor:	SNK & Associates Address: G-1, Ground Floor, South Extension Part-II, New Delhi-110049 Telephone No.: 011-41649625,26 Mobile No.: 9911019008 COP: 11097		
24.	Appointment of common agency for share registry work	Yes- LINK INTIME INDIA PVT. LTD. NOBLE HEIGHTS, 1ST FLOOR, PLOT NH 2 C-1 BLOCK LSE, NEAR SAVITRI MARKET JANAKPURI NEW DELHI- 110058		
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE).	N.A		

For SNK & Associates

(Sandeep Kumar)
Company Secretary
COP: 11097

Place: New Delhi
Date: 10.10.2021
UDIN: F011256C001138921



The Company Secretary
M/S. SMC GLOBAL SECURITIES LIMITED
17, Netaji Subhash Marg
Opp. Golcha Cinema, Darya Ganj
New Delhi - 110002

Date: 08/10/2021

Sub: - Segment wise capital reconciliation statement as on 30.09.2021

Dear Sir,

Please find given hereunder reconciliation statement of your company in both physical & Electronic segment as on 30/09/2021.

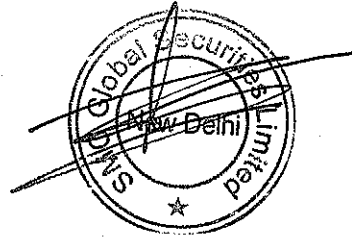
TYPE	NO. OF SHARE HOLDERS	NO. OF SHARES
1. NSDL	5237	20901324
2. CDSL	13372	91745486
3. PHYSICAL	227	487640
TOTAL	18836	113134450

Thanking you,

Yours truly,

For LINK INTIME INDIA PRIVATE LTD.

(AUTHORISED SIGNATORY)



The Company Secretary
M/S. SMC GLOBAL SECURITIES LIMITED
17, Netaji Subhash Marg
Opp. Golcha Cinema, Darya Ganj
New Delhi - 110002

Date: 08/10/2021

Dear Sir,

With reference to your letter and pursuant to SEBI circular D & CC/FITTC/CIR-16/2002, dated 30/12/2002, we confirm as under as on 30/09/2021.

1. That in term of para (1) 209,01,324 number of shares stands in NSDL A/c., 917,45,486 number of shares stands in CDSL A/c. and balance 4,87,640 number of shares stands in physical mode.
2. (a) That no request received for transfer of shares during the period of 01/04/2021 to 30/09/2021.
(b) That no request received for issue of duplicate, transmission, name deletion, split, consolidation, renewal and endorsement of call monies during the period of 01/04/2021 to 30/09/2021.
(c) That all the request received for dematerialization during the period of 01/07/2021 to 30/09/2021 have been considered, given effect in the register of member.
3. That in item of para (2) of the said circular the register of member giving effect to the above has been updates as on 30/09/2021.
4. That in item of para (3) of the said circular, the dematerialisation request have been confirmed and there is no shares pending confirmation for more than 15 days from the date of receipt.

Thanking you.

Yours truly,

For LINK INTIME INDIA PRIVATE LTD.



(AUTHORISED SIGNATORY)

