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SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

Regd. Office : 11/6B, Shanti Chamber, Pusa Road, New Delhi-110005

Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74999DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Dated: 12th April, 2022

Listing Operations BSE Limited, P J Towers, Dalal Street, Mumbai-400001, India Scrip Code: 543263	Listing Department National Stock Exchange of India Limited, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400051 Symbol: SMCGLOBAL
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Subject: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 for the financial year ended on 31st March, 2022

Dear Sir/ Ma'am,

In terms of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find attached Certificate received from M/s SNK & Associates, Practising Company Secretaries for the financial year ended on 31st March, 2022.

This is for your information and record.

For SMC Global Securities Limited

Suman Kumar
E.V.P. (Corporate Affairs) & Company Secretary
Membership No. F5824

Enclosed: Certificate received from M/s SNK & Associates, Practising Company Secretaries.

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
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KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004
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SNK & Associates

COMPANY SECRETARIES

G- 1 Ground Floor, South Extension-II New Delhi-110049

Ph.: 011-41649625, 41649626

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E-mail : cssandeepkumarroy@gmail.com
csskroy.shk@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2022

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s SMC Global Securities Limited, CIN:L74899DL1994PLC063609 having its registered office at 11/6B, Shanti Chambers, Pusa Road, New Delhi-110005 maintained by M/s Link Intime India Private Limited ("Registrar and Share Transfer Agent"), pertaining to transfer of equity shares of the Company for the period from 01st April, 2021 to 31st March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/Share Transfer Agent, we hereby certify that the Company has given effect to all transfers pertaining to the financial year and hereby confirm for year ended on 31st March, 2022:-

1. The Company has delivered during the FY 2021-22, Share Certificates relating to the Share Transfer Forms received during the period from 01.04.2021 to 31.03.2022 within 30 days from the respective date of lodgment of each deed excepting those rejected on technical grounds.
2. No Share Certificates in respect of requests for duplicate have been received during the period. There were no cases of sub-division, consolidation, renewal or exchange during the period.

For SNK & Associates

Sandeep Kumar

Company Secretary

COP No. 11097

UDIN: F011256D000087024

Place: New Delhi

Date: 12.04.2022