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## SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 24<sup>th</sup> December, 2021

To,

<b>Listing Operations</b> <b>BSE Limited, P J Towers, Dalal Street,</b> <b>Mumbai -400001, India</b>  <b>Scrip Code: 543263</b>	<b>Listing Department</b> <b>National Stock Exchange of India Limited</b> <b>Exchange Plaza,</b> <b>C-1, Block G,</b> <b>Bandra Kurla Complex,</b> <b>Bandra</b>  <b>(E) Mumbai - 400051</b>  <b>Symbol: SMCGLOBAL</b>
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**Subject:- Disclosure pursuant to Regulation 9(3) of SEBI (PIT) Regulations, 2015 with regard to resignation of Compliance Officer appointed under the Regulation.**

Pursuant to the provisions of Regulation 9(3) of SEBI (Prohibition of Insider Trading) Regulations, 2015, this is to inform you that Ms. Divya Gupta has tendered her resignation from the post of Compliance Officer of the Company appointed for the purpose of SEBI (Prohibition of Insider Trading) Regulations, 2015 with effect from the close of business hours of Friday, the 24<sup>th</sup> December, 2021.

The resignation letter shall be placed before the Board of Directors in the upcoming Board Meeting for their consideration and formal acceptance in accordance with the aforementioned regulation.

We request you to please take the same on record.

Thanking you,  
For SMC Global Securities Limited

**(Suman Kumar)**  
**E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer**  
**(Membership No. F5824)**

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East  
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Resignation from the post of compliance officer under SEBI (Prohibition of Insider Trading) Regulations, 2015 | SMC Global Securities Limited - Message (HTML)

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From: CS Divya Gupta [csdivya@smcindiaonline.com] Sent: Fri 12/24/2021 12:52 PM  
To: 'Smriti Wadehra'  
Cc:  
Subject: Resignation from the post of compliance officer under SEBI (Prohibition of Insider Trading) Regulations, 2015 | SMC Global Securities Limited


Dear Sir(s)/Ma'am(s),

This is to inform you that due to some unavoidable circumstances and personal reasons, I hereby tender my resignation from the post of compliance officer of SMC Global Securities Limited for the purpose of SEBI (Prohibition of Insider Trading) Regulations, 2015 with effect from 24<sup>th</sup> December, 2021. Kindly accept this as my resignation and relieve me of my duties.

I appreciate the opportunity to serve the Company and thank you for all the guidance & support during the currency of my tenure. I wish everyone and the company every success in all its future endeavors.

**Thanks & Regards,**

**CS Divya Gupta**  
Company Secretary & Compliance Officer (PIT) - Corporate Affairs & Legal  
SMC Group  
8B, Netaji Subhash Marg,  
Daryaganj, New Delhi- 110002  
Mobile: 91+ 9899347591  
Extn: 7730

  
SMC Values: *Passion* | *Integrity* | *Relationship* | *Innovation* | *Trustworthy*