



Moneywise. Be wise.

# SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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Ph : +91-11-30111000, 40753333 Fax : +91-11-25754365 • CIN No. : L74899DL1994PLC063609

E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 9<sup>th</sup> October, 2020

To,

The Manager  
Listing Department,  
Calcutta Stock Exchange Limited  
7, Lyons Range, Dalhousie  
Kolkata – 700001, West Bengal.

**Sub: Submission of Statement of Investor Complaints pursuant to Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the second quarter ended on 30<sup>th</sup> September, 2020.**

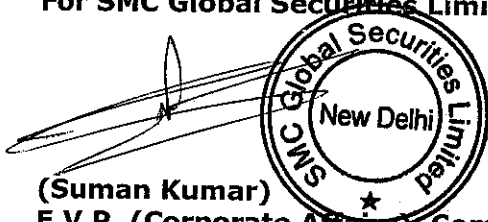
Dear Sir(s),

This is with reference to the captioned subject matter. In this regard, we are enclosing herewith the Statement of Investor Complaints pertaining to Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the second quarter ended on 30<sup>th</sup> September, 2020.

This is for your information and record.

Thanking you,

For SMC Global Securities Limited



(Suman Kumar)  
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer  
(Membership No. F5824)

**Enclosed: Annexure I- Statement of Investors Complaints  
Annexure II – Details of Investor Grievances received and redressed**

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East  
Mumbai-400063 Ph : +91-22-67341600, 66481818 Fax : +91-22-67341697 E-mail : smcmumbai@smcindiaonline.com

KOLKATA OFFICE: 18, Rabindra Sarani, Poddar Court, Gate No. 4, 5th Floor, Kolkata - 700001 Ph : +91-33-66127000 Fax : +91-33-66127004  
E-mail : smckolkata@smcindiaonline.com


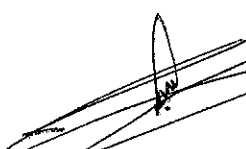


**Statement of Investors Complaints**  
**[Pursuant to Regulations 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]**

**Name of the Listed Entity: SMC Global Securities Limited**  
**(Quarter ended on: 30<sup>th</sup> September, 2020)**

<b>Investor Complaints</b>	<b>Pending at the Beginning of the Quarter</b>	<b>Received during the Quarter</b>	<b>Disposed off during the Quarter</b>	<b>Unresolved at the End of the Quarter</b>
<b>Quarter ended 30<sup>th</sup> September, 2020</b>	NIL	NIL	NIL	NIL

**For SMC Global Securities Limited**



**Suman Kumar**  
**(E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer)**  
**Membership No. F5824**

**LINK Intime****Link Intime India Pvt. Ltd.**Noble Heights, 1st Floor,  
Plot No. NH 2, LSC, C-1 Block,  
Near Savitri Market, Janakpuri,  
New Delhi-110058

Phone : 011-49411000

Fax : 011-41410591

E-mail : delhi@linkintime.co.in

The Company Secretary  
**M/S. SMC GLOBAL SECURITIES LIMITED**  
 17, Netaji Subhash Marg  
 Opp. Golcha Cinema, Darya Ganj  
 New Delhi - 110002

Date: 06/10/2020

Sub: Details of Investors Grievances Received & Redressed.

Dear Sir,

Please find listed below details of the investor complaints / requests, received and redressed at our end during the quarter ended September 30<sup>th</sup>, 2020 (01-07-2020 to 30-09-2020).

Investors Complaints / Requests	No. of complaints
Total investors complaints Pending at the beginning of the quarter	Nil
Total Complaints received from Investors during the quarter	Nil
Total complaints received from N.S.E. during the quarter	Nil
Total complaints received from B.S.E. during the quarter	Nil
Total complaints received form SEBI during the quarter	Nil
Total complaints resolved / replied during the quarter	Nil
Total complaints pending at the ending of the quarter	Nil

Kindly acknowledge the receipt of the same.

Thanking you,

Yours truly,

For **LINK INTIME INDIA PRIVATE LTD.**

(AUTHORISED SIGNATORY)

