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SMC GLOBAL SECURITIES LIMITED

Member: NSE • BSE • MSE • NCDEX • ICEX • MCX

Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Dated: 12th October, 2021

Listing Operations BSE Limited, P J Towers, Dalal Street, Mumbai -400001, India Scrip Code: 543263	Listing Department National Stock Exchange of India Limited, Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400051 Symbol: SMCGLOBAL	Listing Department, Calcutta Stock Exchange Limited, 7, Lyons Range, Dalhousie Kolkata – 700001, West Bengal Scrip Code: 029186
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Dear Sir(s),

Sub: Submission of Statement of Investor Complaints pursuant to Regulation 13 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 30th September, 2021.

Pursuant to the provisions of Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached statement of investor complaints of the Company for the quarter ended 30th September, 2021.

Kindly take the same on record.

Thanking you,
For SMC Global Securities Limited



Suman Kumar
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)

Enclosed: Annexure I- Statement of Investors Complaints
Annexure II – Details of Investor Grievances received and redressed

MUMBAI OFFICE : A Wing, 401/402, Lotus Corporate Park, Graham Firth Steel Compound, Off Western Express Highway, Jay Coach Signal, Goregaon East
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Annexure I

Statement of Investors Complaints

[Pursuant to Regulations 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

**Name of the Listed Entity: SMC Global Securities Limited
(Quarter ended on: 30th September, 2021)**

Investor Complaints	Pending at the Beginning of the Quarter	Received during the Quarter	Disposed off during the Quarter	Unresolved at the End of the Quarter
Quarter ended 30th September, 2021	NIL	NIL	NIL	NIL

For SMC Global Securities Limited



**(Suman Kumar)
E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer
(Membership No. F5824)**

LINK Intime

Link Intime India Pvt. Ltd.

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Plot No. NH 2 , LSC, C-1 Block ,
Near Savitri Market, Janakpuri,
New Delhi-110058

Phone : 011-49411000

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The Company Secretary
M/S. SMC GLOBAL SECURITIES LIMITED
17, Netaji Subhash Marg
Opp. Golcha Cinema, Darya Ganj
New Delhi - 110002

Date: 08/10/2021

Sub: Details of Investors Grievances Received & Redressed.

Dear Sir,

Please find listed below details of the investor complaints / requests, received and redressed at our end during the quarter ended 30th September, 2021 (01/07/2021 to 30/09/2021).

Investors Complaints / Requests	No. of complaints
Total investors complaints Pending at the beginning of the quarter	Nil
Total Complaints received from Investors during the quarter	Nil
Total complaints received from N.S.E. during the quarter	Nil
Total complaints received from B.S.E. during the quarter	Nil
Total complaints received form SEBI during the quarter	Nil
Total complaints resolved / replied during the quarter	Nil
Total complaints pending at the ending of the quarter	Nil

Kindly acknowledge the receipt of the same.

Thanking you,

Yours truly,

For LINK INTIME INDIA PRIVATE LTD.

(AUTHORISED SIGNATORY)

