

General information about company

Scrip code	543263
NSE Symbol	SMCGLOBAL
MSEI Symbol	NA
ISIN	INE103C01036
Name of the entity	SMC GLOBAL SECURITIES LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Half Yearly
Date of Report	30-09-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson		Yes																		
Whether Chairperson related to Promoter		Yes																		
		Disqualification of Directors under section 164 of the Companies Act, 2013																		
Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	
Subhash Chand Aggarwal	AAJPA9387K	00003267	Executive Director	Chairperson	MD	02-07-1956	No				Active	NA		19-12-1994	29-01-2020			1	0	
Mahesh Chand Gupta	AAAPG3736D	00003082	Executive Director	Not Applicable	MD	30-01-1957	No				Active	NA		01-09-2007	01-09-2017			1	0	
Ajay Garg	ABXPA2346P	00003166	Executive Director	Not Applicable	CEO	31-12-1973	No				Active	NA		28-03-2009	14-05-2018			1	0	
Anurag Bansal	AAAPB5180A	00003294	Executive Director	Not Applicable		21-04-1975	No				Active	NA		28-03-2009	28-03-2019			1	0	

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Director in listed entities including this listed entity (Refer Regulation 17A(1) Listing Regulations)
Chandra Wadhwa	AAAPW5444L	00764576	Non-Executive - Independent Director	Not Applicable		06-06-1958	No				Active	NA		03-09-2012	01-04-2019		120	1	1
Naveen ND Gupta	AEQPG6833D	00271748	Non-Executive - Independent Director	Not Applicable		08-11-1972	No				Active	NA		31-01-2018	31-01-2018		56	1	1
Hari Das Khunteta	AAHPK9670R	00061925	Non-Executive - Independent Director	Not Applicable		20-07-1952	No				Active	NA		12-10-2012	01-04-2019		119	1	1
Kundan Mal Agarwal	AAKPA5644C	00043115	Non-Executive - Independent Director	Not Applicable		05-03-1949	No				Active	NA		10-01-1995	01-04-2019		332	1	1

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Director in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)
Madhu Vij	AADPV0701A	00025006	Non-Executive - Independent Director	Not Applicable		16-07-1955	No				Active	NA		22-09-2014	01-04-2019		96	2	2
Himanshu Gupta	AIEPG2872J	03187614	Non-Executive - Non Independent Director	Not Applicable		20-11-1986	No				Active	NA		21-02-2018	21-02-2018			1	0
Shruti Aggarwal	APZPA8483R	06886453	Non-Executive - Non Independent Director	Not Applicable		20-04-1991	No				Active	NA		16-06-2017	16-06-2017			1	0
Narendra Kumar	ABNPK8802G	02307690	Non-Executive - Independent Director	Not Applicable		10-07-1957	No				Active	NA		16-09-2022	16-09-2022		0.47	1	1

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00061925	Hari Das Khunteta	Non-Executive - Independent Director	Chairperson	30-01-2013		
2	00271748	Naveen ND Gupta	Non-Executive - Independent Director	Member	31-01-2018		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	30-05-2003		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00764576	Chandra Wadhwa	Non-Executive - Independent Director	Chairperson	30-01-2013		
2	00271748	Naveen ND Gupta	Non-Executive - Independent Director	Member	31-01-2018		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	30-01-2013		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Chairperson	28-04-2003		
2	00061925	Hari Das Khunteta	Non-Executive - Independent Director	Member	30-01-2013		
3	00003294	Anurag Bansal	Executive Director	Member	07-05-2022		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00003294	Anurag Bansal	Executive Director	Chairperson	07-06-2021		
2	00003166	Ajay Garg	Executive Director	Member	07-06-2021		
3	03187614	Himanshu Gupta	Non-Executive - Non Independent Director	Member	07-06-2021		
4	00764576	Chandra Wadhwa	Non-Executive - Independent Director	Member	07-06-2021		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00003267	Subhash Chand Aggarwal	Executive Director	Chairperson	26-05-2014		
2	00003082	Mahesh Chand Gupta	Executive Director	Member	26-05-2014		
3	00043115	Kundan Mal Agarwal	Non-Executive - Independent Director	Member	26-05-2014		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1		28-07-2022			Yes	11	11	5

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors (All directors including Independent director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	28-07-2022				Yes	3	3	3	0
2	Nomination and remuneration committee	28-07-2022				Yes	3	3	3	0
3	Stakeholders Relationship Committee	28-07-2022				Yes	3	3	2	0
4	Risk Management Committee	07-09-2022	40			Yes	4	4	1	0

Annexure 1**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	SUMAN KUMAR
2	Designation	Company Secretary and Compliance Officer

Text Block

Textual Information(1)

The Board of Directors in their meeting held on 28th July, 2022 approved the appointment of Mr. Narendra Kumar as Additional Director in the capacity of Independent Director, however as the Company is a trading/clearing member, the approval of the stock exchanges was required before any appointment of director. Accordingly, the Company applied for the approval of the exchanges and received the approval from all the exchanges on 16th September, 2022. The appointment of Mr. Narendra Kumar was effective from 16th September, 2022.

Hence, the Board composition was in accordance to the applicable provisions of SEBI (LODR) Regulations, 2015 during the quarter ended 30th September, 2022.

Annexure III

III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether Corporate Governance Report disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				

Annexure III

1	Name of signatory	SUMAN KUMAR
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure

Applicability of disclosure	Not Applicable
Reason for Non Applicability	Textual Information(1)

Signatory Details

Name of signatory	SUMAN KUMAR
Designation of person	Company Secretary and Compliance Officer
Place	NEW DELHI
Date	30-09-2022

